



*GWTC-3: the latest version of the
catalog of gravitational wave
transients & the future of GW
observations*

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The Gravitational Wave Transient Catalog (GWTC)

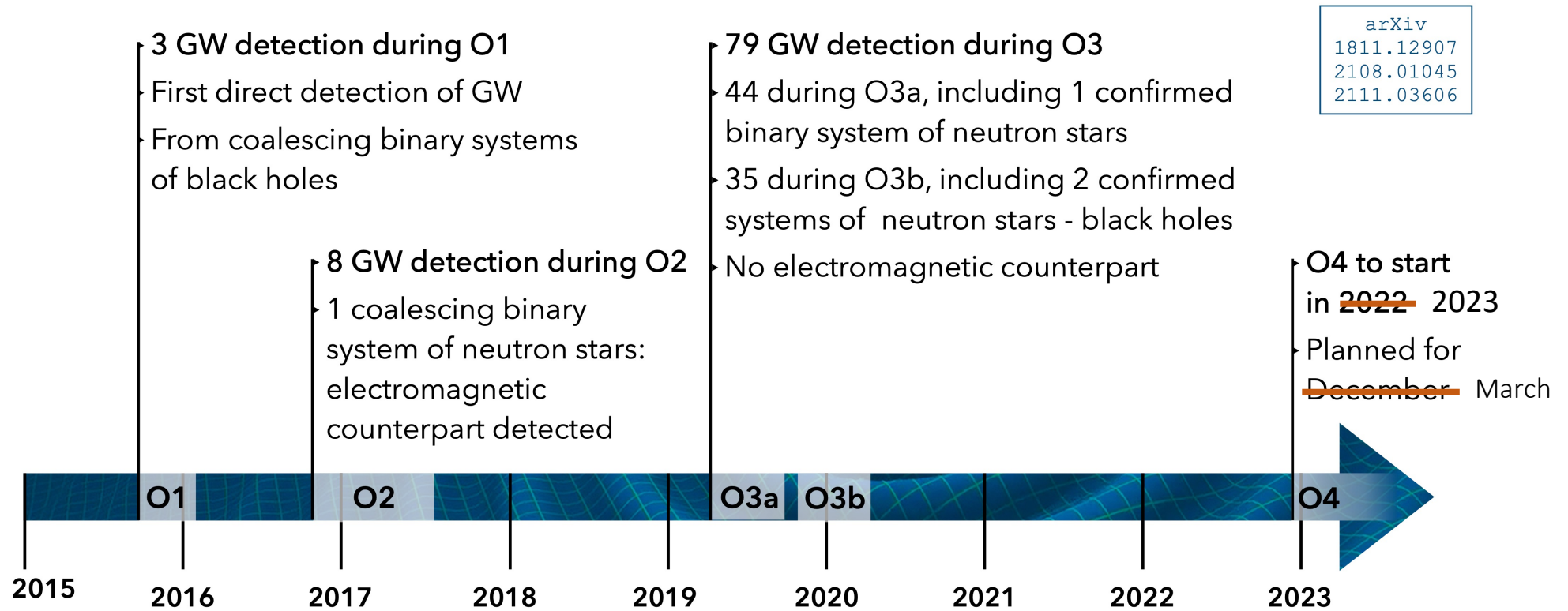
GWTC-3 is the third and latest update of the Gravitational-Wave Transient Catalog from LIGO, Virgo, and KAGRA.

GWTC-3 updates the previous catalogs with gravitational-wave observations from the second part of Observing Run 3, which lasted from November 2019 to March 2020. Collectively, GWTC-3 represents the largest number of gravitational-wave observations assembled to date.

So far, the following versions of GWTC have been released:

- **GWTC-1**, which contains a total of 11 events from the first and second observing runs (O1 and O2).
- **GWTC-2**, which added 39 events to GWTC-1, bringing the total number of events to 50 (from O1, O2, and O3a, the first part of O3).
- **GWTC-2.1** revisited the O3a analysis, finding an additional 8 candidates, but also reclassifying 3 of the original GWTC-2 candidates because their probability of being real astrophysical signals dropped to less than 50%. This brought the total to 55 events.
- **GWTC-3** adds a further 35 gravitational-wave events from O3b, bringing the total number of events observed to date to 90.

GWTC: Gravitational Waves Transient Catalog - 3



arXiv
1811.12907
2108.01045
2111.03606



90 GW detections reported



Coalescence of compact objects (black holes, neutron stars)



1 multimessenger event (GW + EM observation)



Mass range 1.2 → 107 M_{\odot} (stellar)



Distance range 40 Mpc → 6 Gpc ($z \rightarrow 0.45$)

The gravitational-wave story

1916 Einstein predicts gravitational waves in general relativity

1974 First indirect evidence of gravitational waves from binary pulsars

2015 First observation of gravitational waves at the start of O1

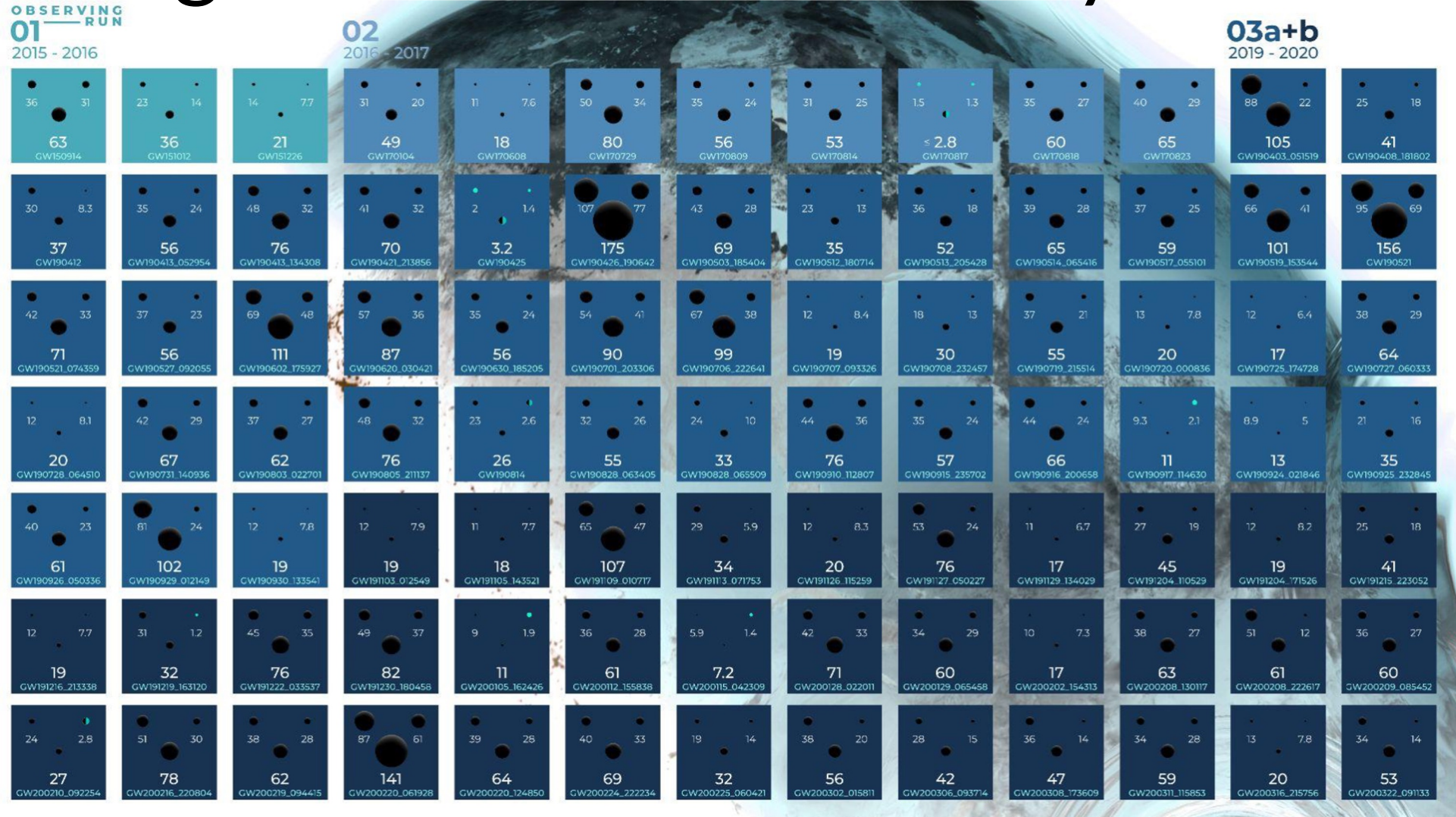
Observing runs

O1: 2015–2016

O2: 2016–2017

O3: 2019–2020

O4: ~2022–2023



Instruments in O3b

Quantum squeezing
Vacuum state of light with phase fluctuations **smaller** than the normal vacuum to reduce phase noise at the expense of amplitude noise

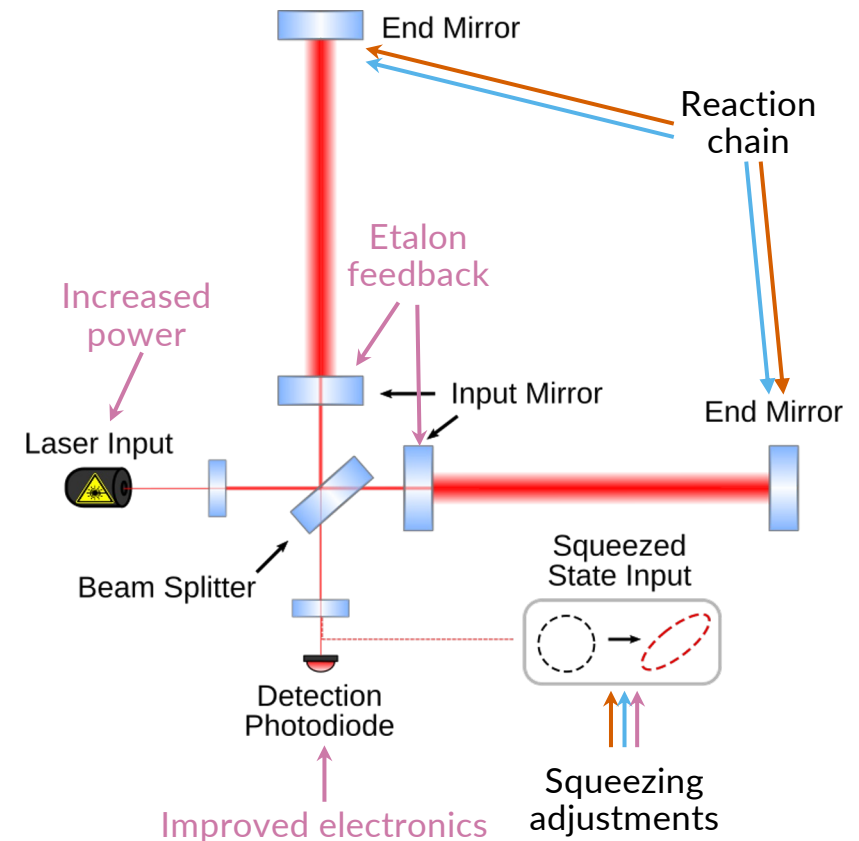
For more on squeezing:
Tse *et al.* (2019) [Phys. Rev. Lett. 123, 231107](#)
Acernese *et al.* (2019) [Phys. Rev. Lett. 123, 23110](#)

Similar to during O3a, where the main improvements were:

- adjustment of **in-vacuum squeezing** for **LIGO Hanford** and **Livingston**
- increase of laser power for **Virgo**

After October commissioning break:

- **LIGO**: Adjustments to the squeezing subsystem and reduction of scattered light noise; implementation of **reaction-chain tracking**
- **Virgo**: Increased laser power; improved electronics, alignment, etalon feedback system, squeezing and software



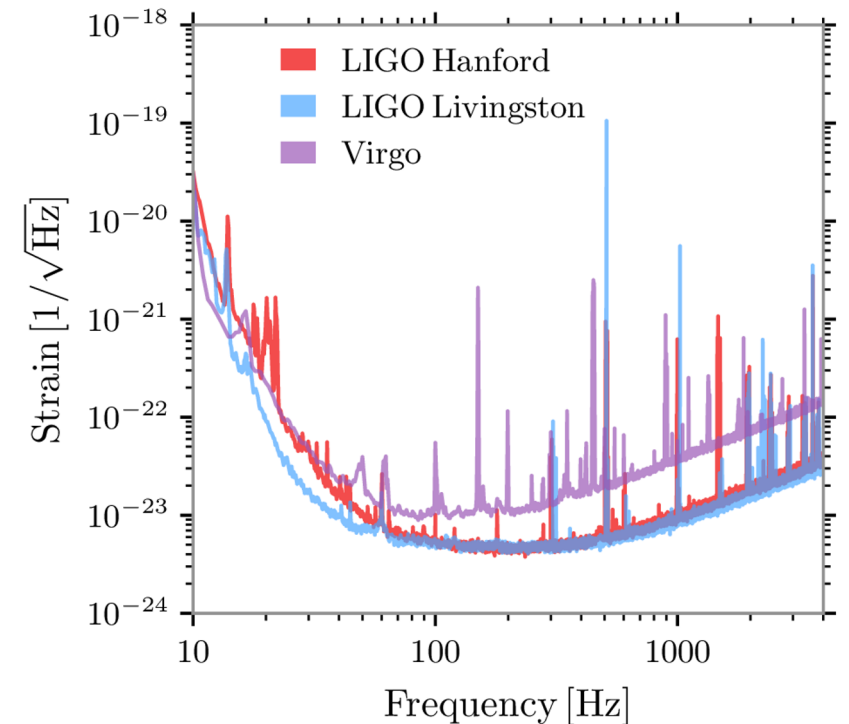
Detector sensitivity curves

Strain sensitivity can be characterized by the **detector noise spectrum**

A smaller value of the spectrum means lower noise at a given frequency and an increased sensitivity to signals

The previous upgrades led to a better **detector sensitivity** and also a high **duty cycle**, despite running through winter:

- **142.0 days** with **at least one detector** observing
- **79%**, **79%** and **76%** for **Hanford**, **Livingston** and **Virgo**
- Triple time **51.0%**, double time **85.3%** and single time **96.6%**



Binary neutron star ranges

The **binary neutron star (BNS) range** is a standard measure of detector sensitivity. It is the distance a detector is able to detect a signal from a **1.4+1.4 solar mass binary**

Higher mass sources are detected at greater distances

2 Jan: squeezing improvements

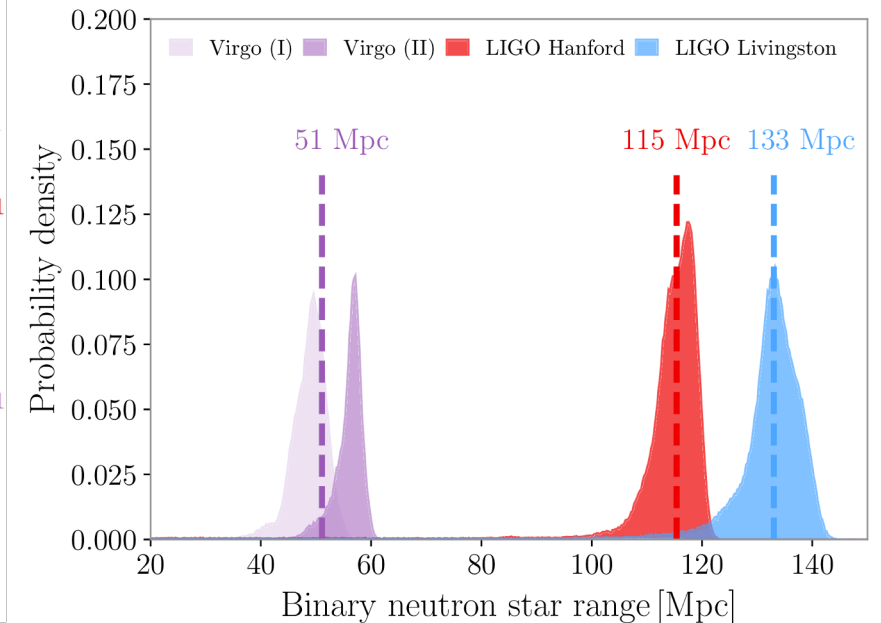
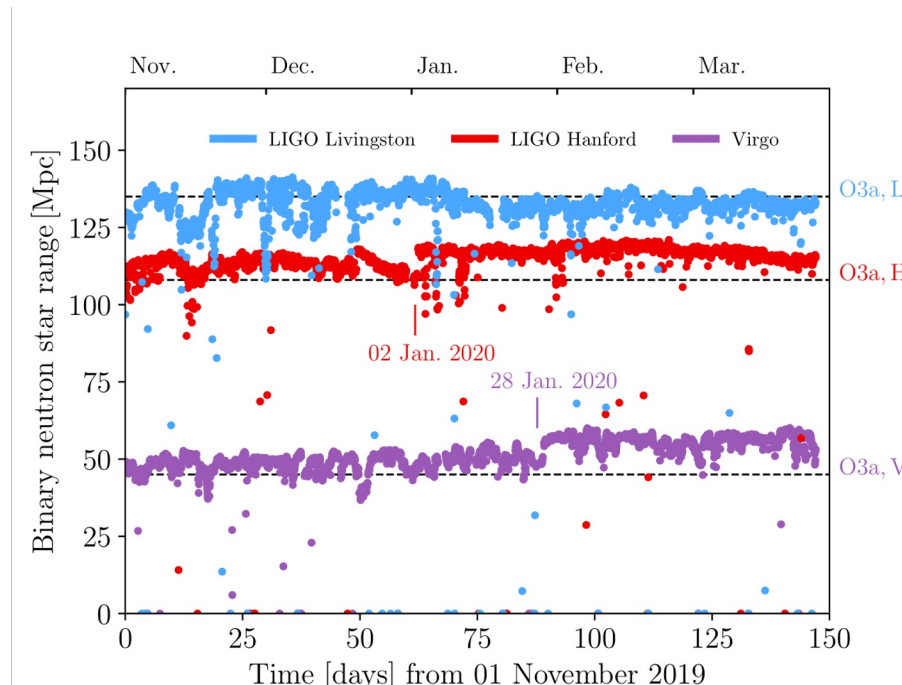
28 Jan: electronics, squeezing and alignment improvements

Median BNS ranges

LIGO Hanford: 115 Mpc,

LIGO Livingston: 133 Mpc,

Virgo: 51 Mpc



Glitch rate

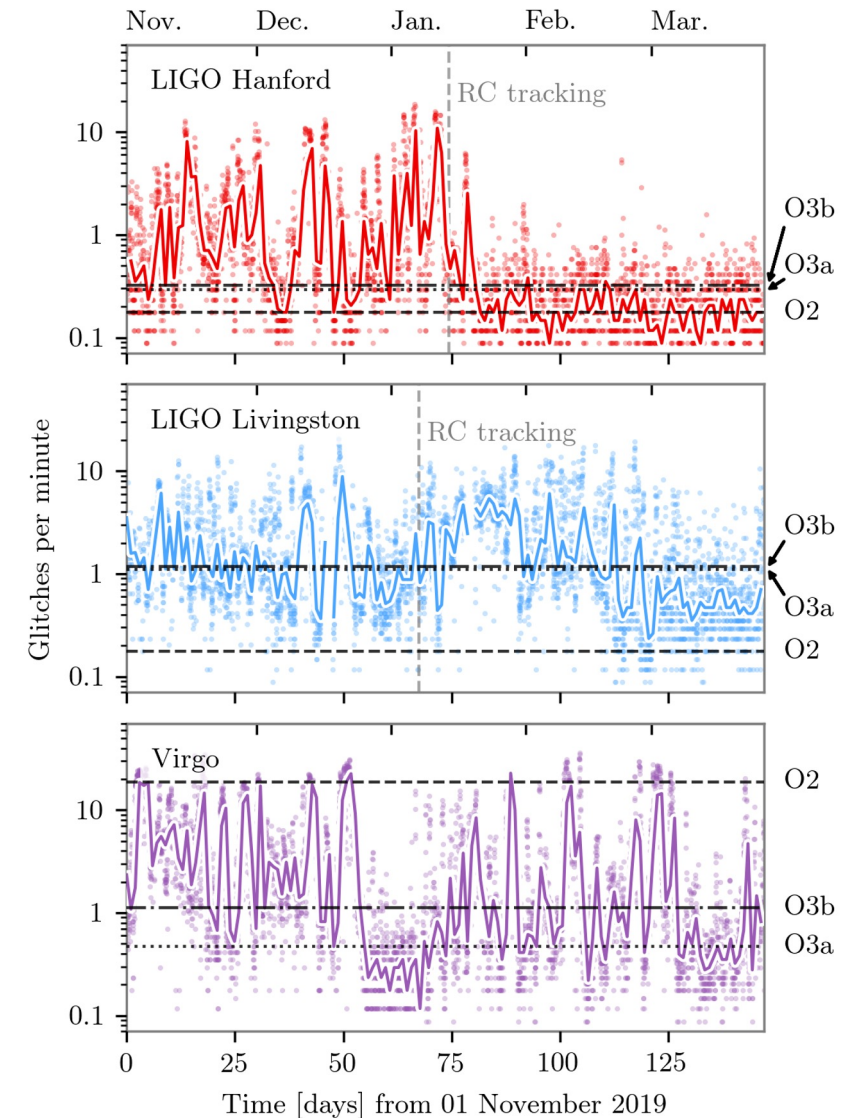
Glitches are transient non-Gaussian noise. New glitch types can arise from [instrument changes](#) or [sensitivity increases](#)

A high glitch rate can drive up noise background estimates for gravitational-wave searches

For more on glitches: [Davis et al. \(2021\) Class. Quant. Grav. 38, 135014](#)

Hanford sees a significant drop in glitch rate after reaction-chain tracking was implemented.

Virgo glitch rate contains peaks largely correlated to unstable weather conditions.

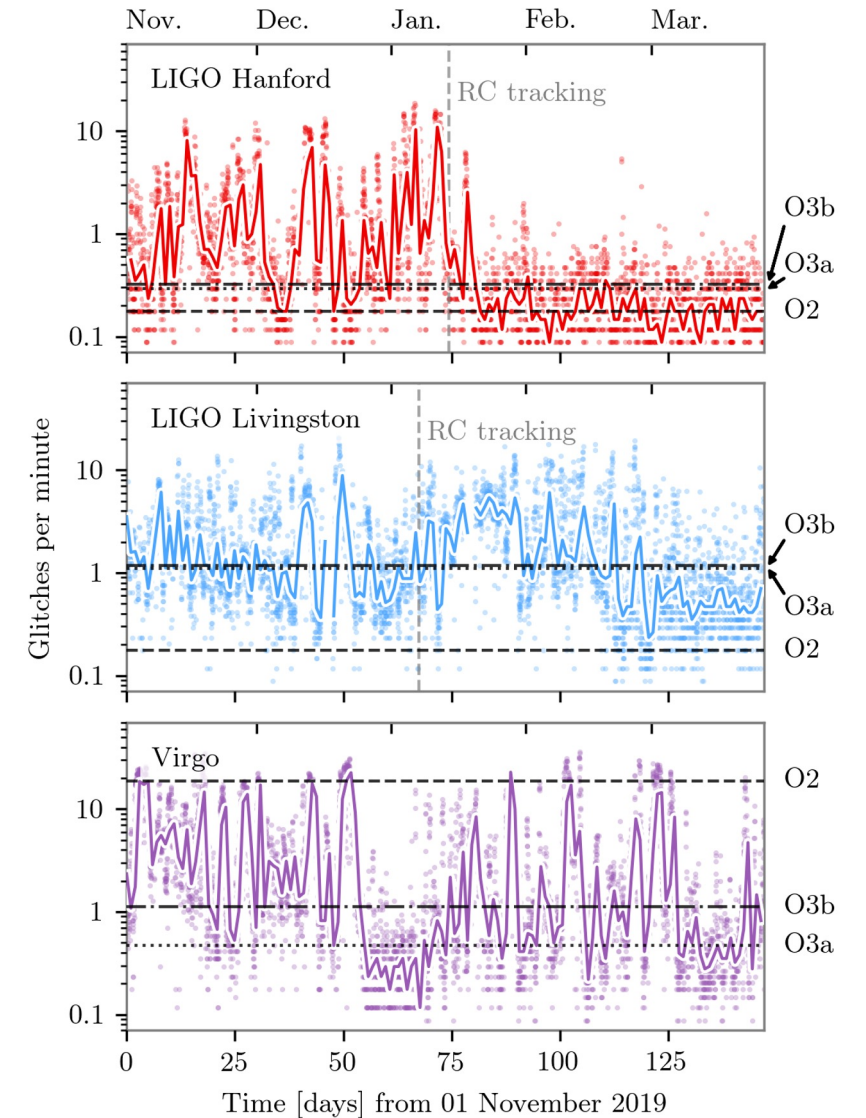
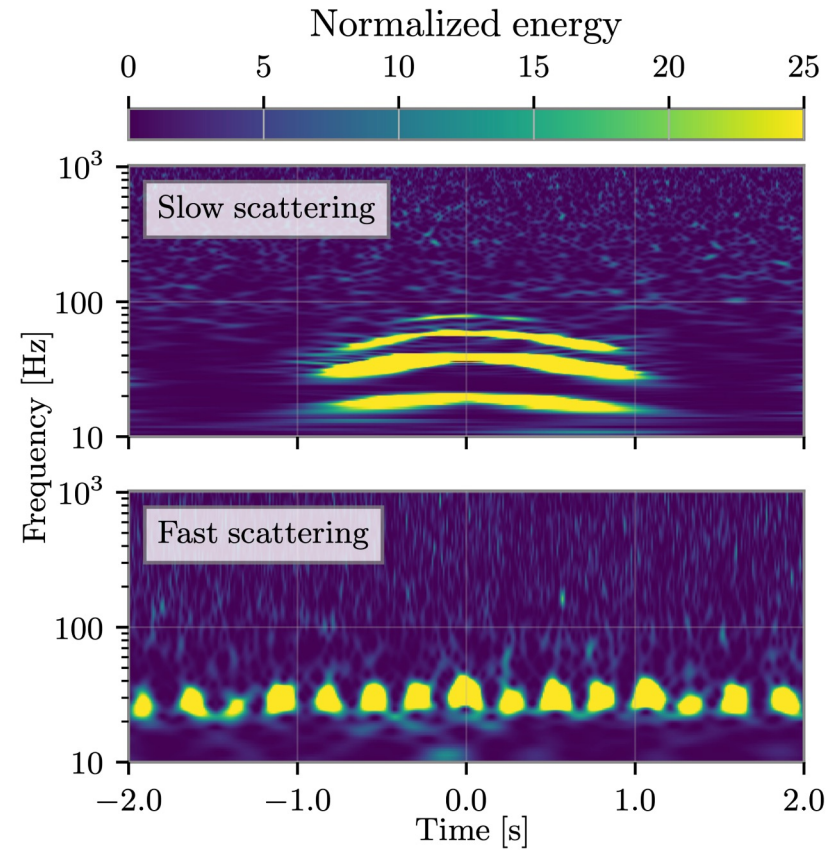


Glitch rate

Scattered light (of various forms) was a major driver of glitch rate at all three detectors

Scattered light tends to be driven by local ground motion, and correlated with bad weather

For more on O3 scattered light:
Soni *et al.* (2021)
[Class. Quant. Grav.](#)
[38, 025016](#)



Event validation

Same **event validation** procedures used as in [O3a](#)

Candidates in the main event list have a [probability of astrophysical origin](#) > 0.5

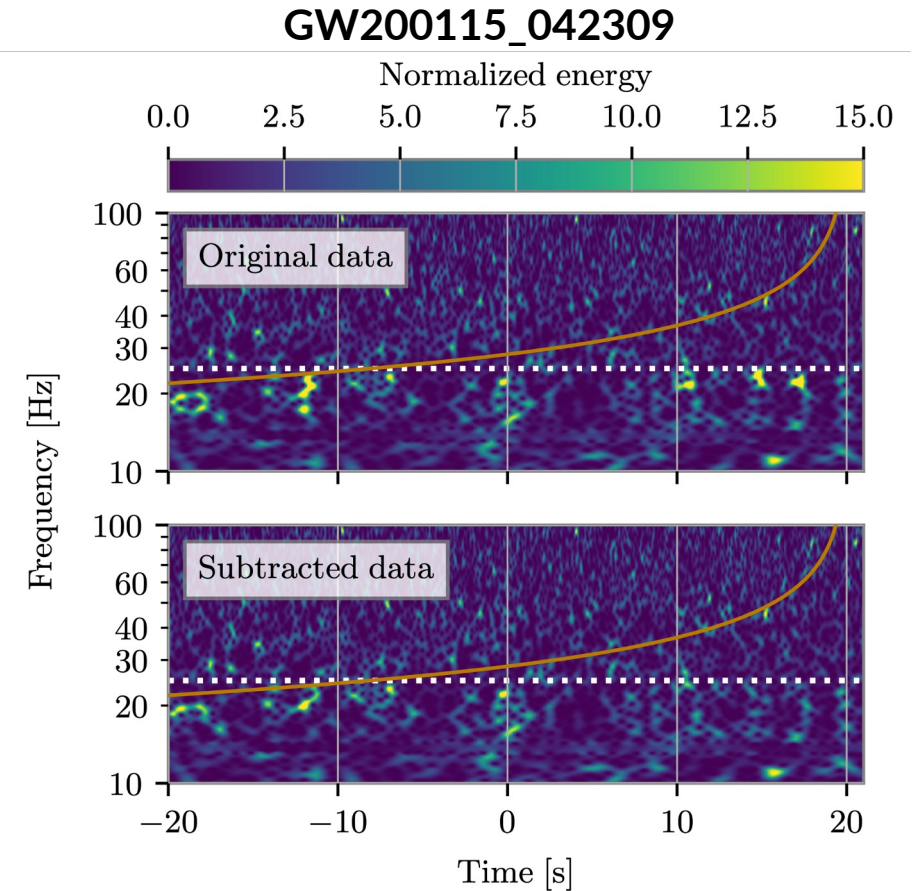
Noise mitigation includes subtraction of excess noise and glitches

Glitches were modeled with the [BayesWave](#) algorithm

No candidates in the main event list were found to be likely instrumental artifacts by event validation procedures.

3 marginal candidates were found to be **likely instrument artifacts**.

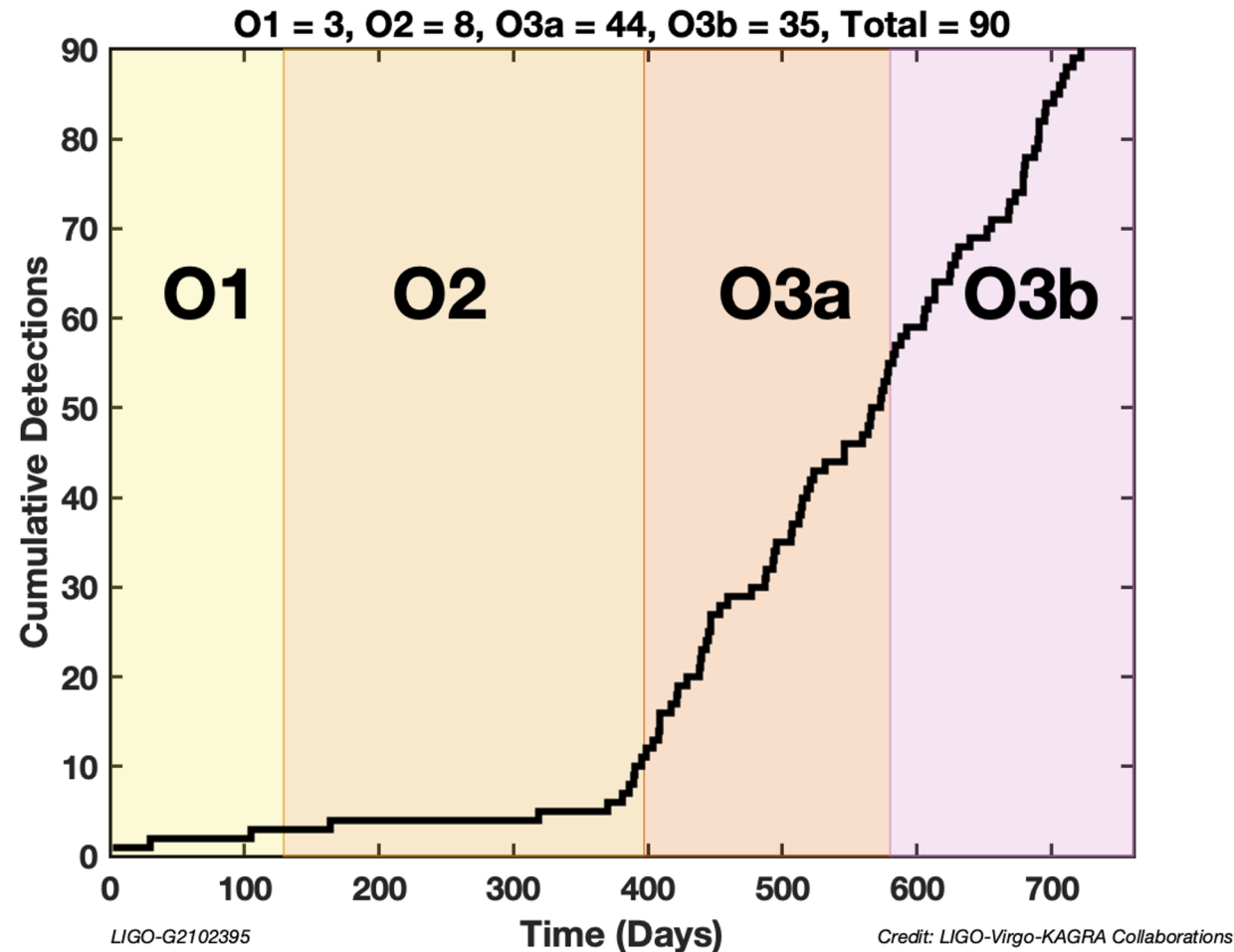
Glitch subtraction applied to **8** events before source property analysis.



Detections across observing runs

The event rate in **O3b** is consistent with O3a and our expectations

Adding **35** new gravitational wave candidates brings our total to **90**



Search methods

Same methods as
GWTC-2.1 (GstLAL,
MBTA, PyCBC) and
GWTC-2 (cWB)

Searches are done on
two timescales: **low-**
latency and **offline re-**
analysis

Modeled searches

- GstLAL, MBTA, PyCBC Broad, PyCBC BBH
- Assume the source is a **compact binary coalescence** (CBC)
- Uses matched filtering and banks of template waveforms with varying parameters to find signals in the data
- **HL, HV, LV, HLV** coincidences
- GstLAL allows for **single-detector** candidates

Minimally modeled search

- cWB
- Can potentially identify **non-CBC** sources
- Does **not** use matched filtering or waveforms
- Identifies excess power in coincident strain data to find signals
- **HL, HV, LV** coincidences

Estimating significance

Follow [GWTC-1](#), [GWTC-2.1](#) in using $p_{\text{astro}} > 0.5$ threshold for inclusion in main event list (assuming CBC sources).

Follow [GWTC-2.1](#) in using $\text{FAR} < 2$ per year threshold for inclusion in marginal event list.

False alarm rate (FAR)

- How often do we expect noise to produce a trigger with the same ranking statistic?
- Does not take into account any astrophysical information

Probability of astrophysical origin (p_{astro})

- Assess significance by comparing the foreground and background ranking statistic distributions, informed by the estimated astrophysical rates

$$\begin{aligned} p_{\text{astro}} &= p_{\text{BNS}} + p_{\text{NSBH}} + p_{\text{BBH}} \\ &= 1 - p_{\text{terr}} \end{aligned}$$

Candidate list

Same methods as
GWTC-2.1 (GstLAL,
MBTA, PyCBC) and
GWTC-2 (cWB)

Main event lists: p -
 $astro > 0.5$ in at least
one pipeline (for CBC
sources)

Thresholds for inclusion

- Main event list (35 events)
 - p -astro > 0.5
 - $\sim 10\text{--}15\%$ contamination
- Marginal event list (7 events)
 - p -astro < 0.5 but FAR < 2 per year
- Deep sub-threshold list (1041 events)
 - FAR < 2 per day
 - $\sim 2\%$ purity

Low latency vs offline

- 39 events found in low latency
 - 16 retracted
 - 5 events not found offline
- 17 events found offline, not found in low-latency
- 35 events added to the catalog

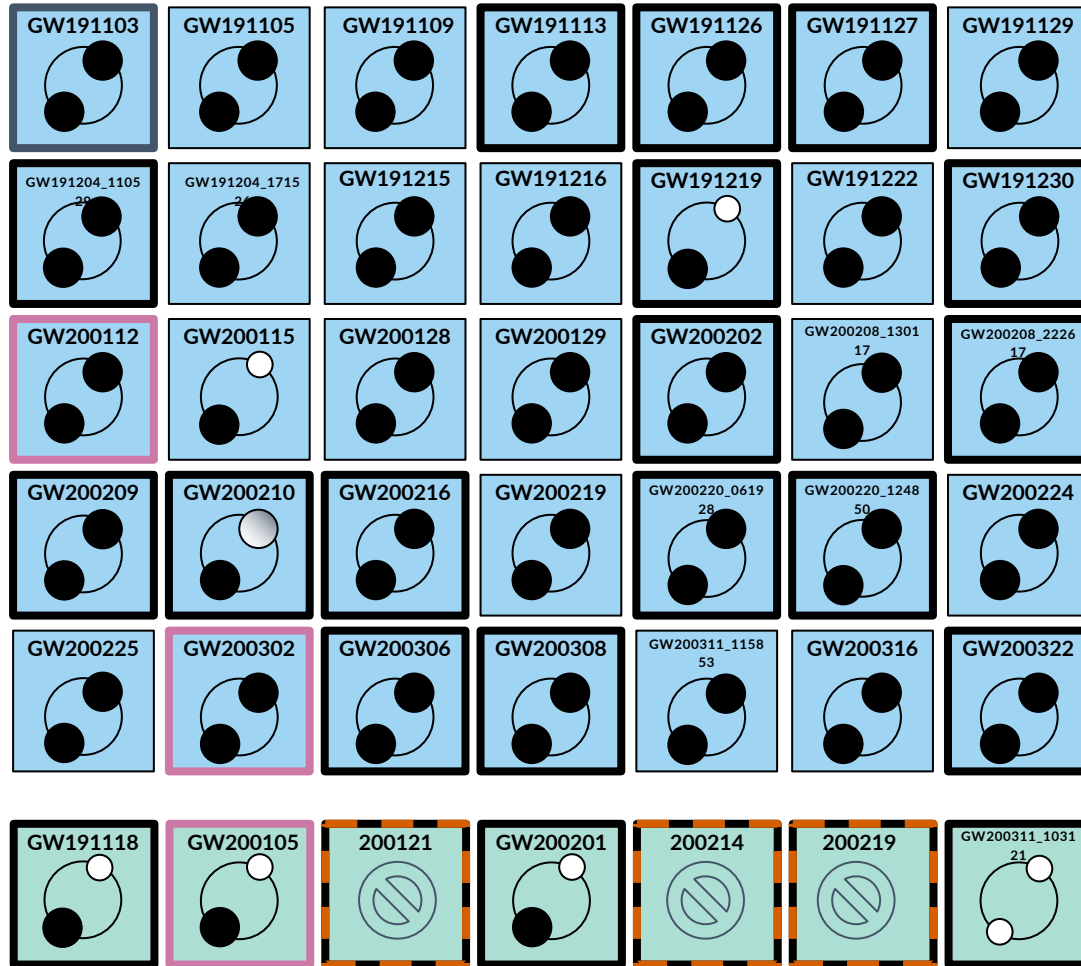
Candidate list

35 events with $p\text{-astro} > 0.5$

3 NSBH (or potential NSBH) + GW200105

3 marginal candidates with identified instrumental origin (including cWB only event 200214)

2 single detector candidates + GW200105



$p\text{-astro} > 0.50$



$p\text{-astro} < 0.50$ &
FAR < 2 per year



Newly reported



Single IFO



Instrumental origin



BBH



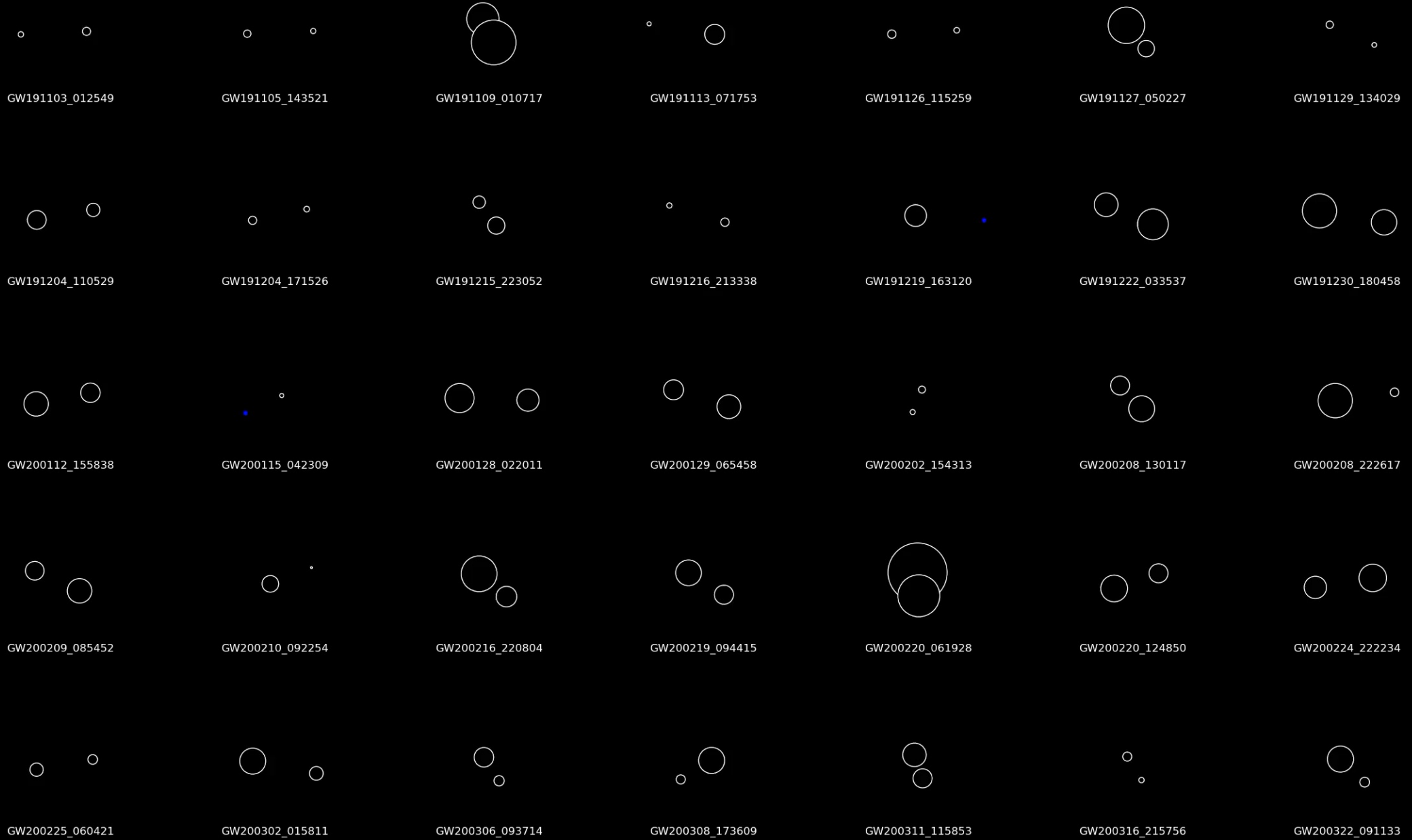
NSBH



BNS



Uncertain
secondary



Growing catalogue

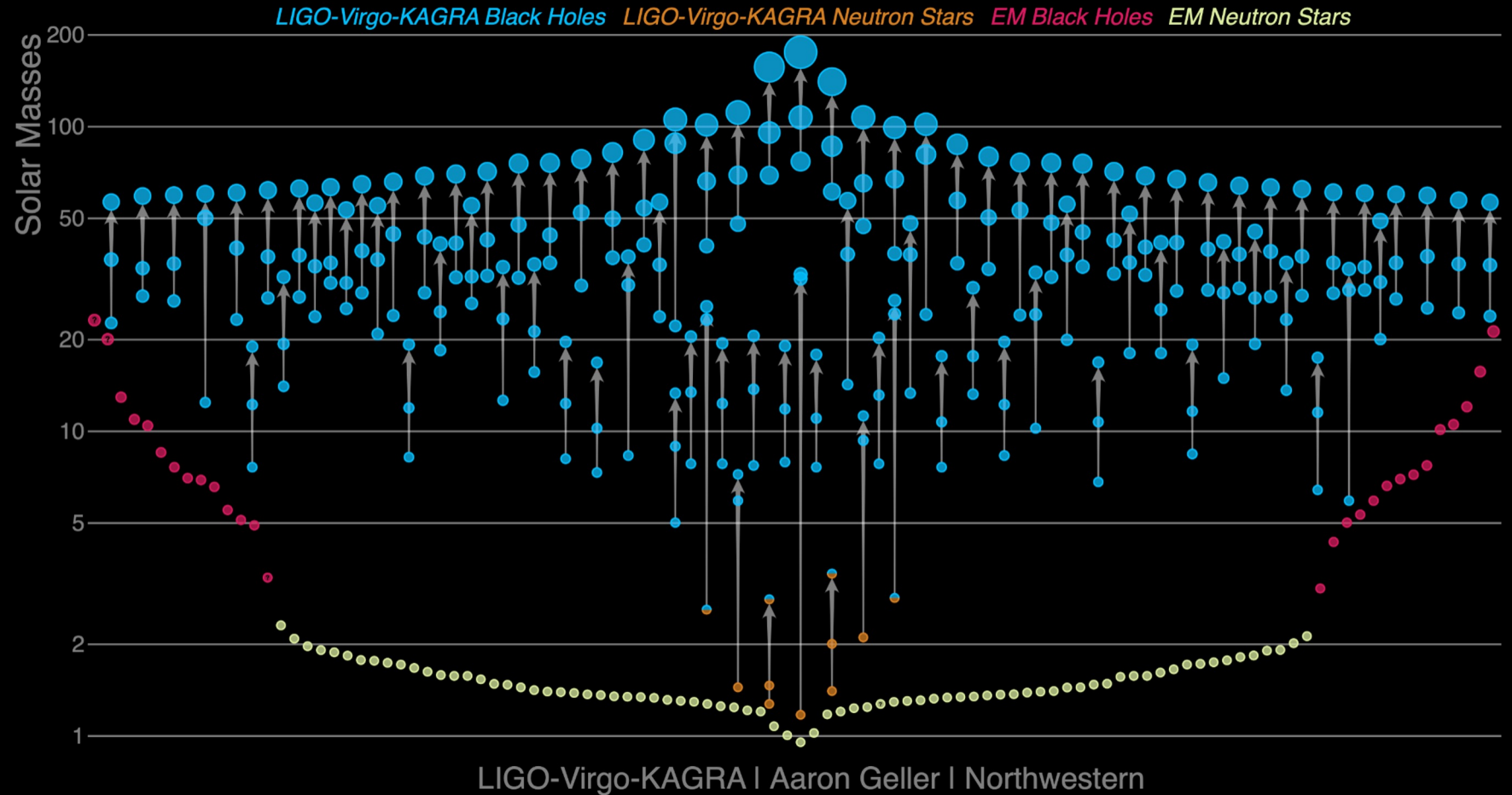
GWTC-3 adds **35** events with more than 50% probability of an **astrophysical** source

Total number of candidates is **90**

Most are binary black holes (**BBHs**)

Some are neutron star–black hole binaries (**NSBHs**)

Two are binary neutron stars (**BNSs**)

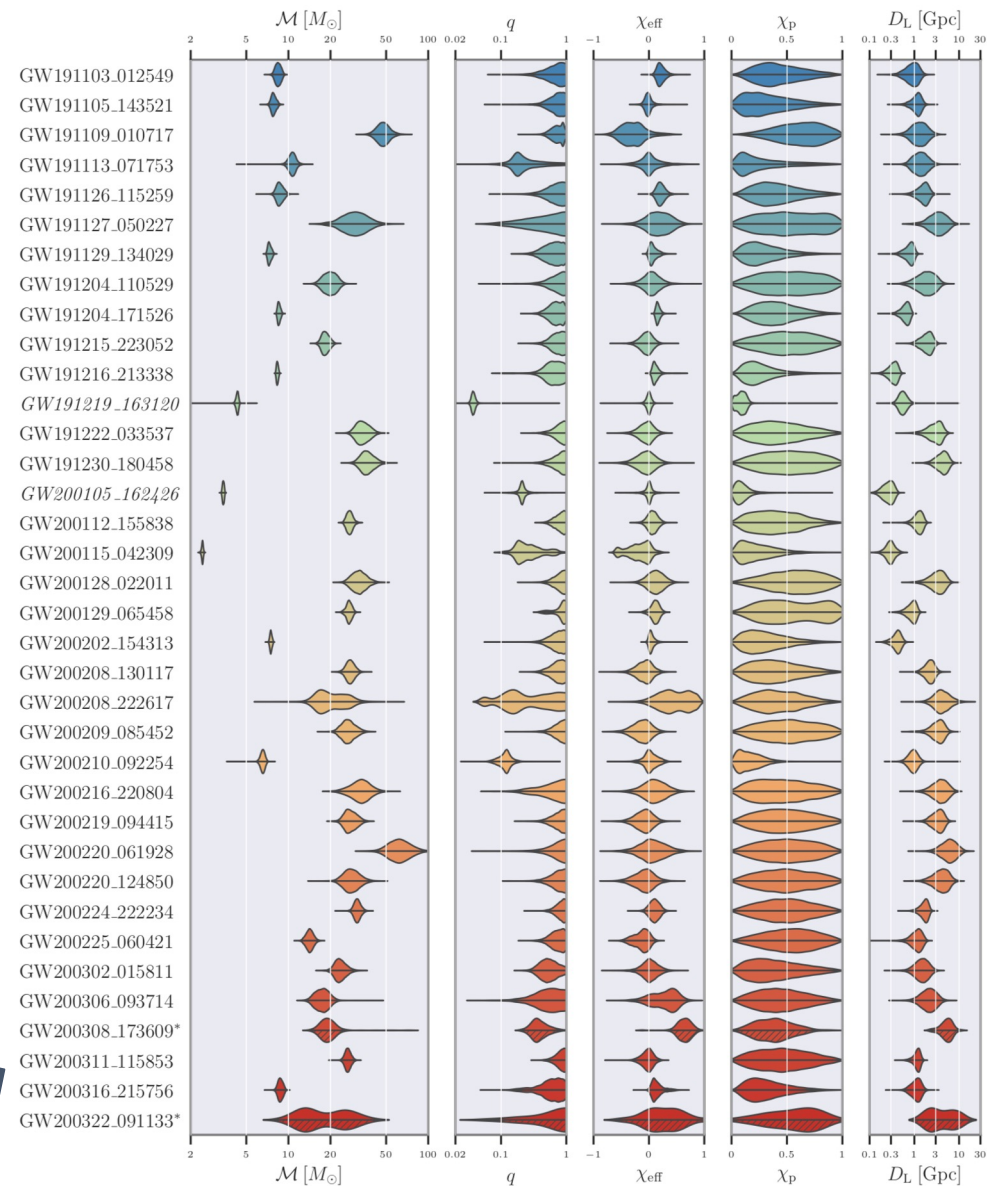
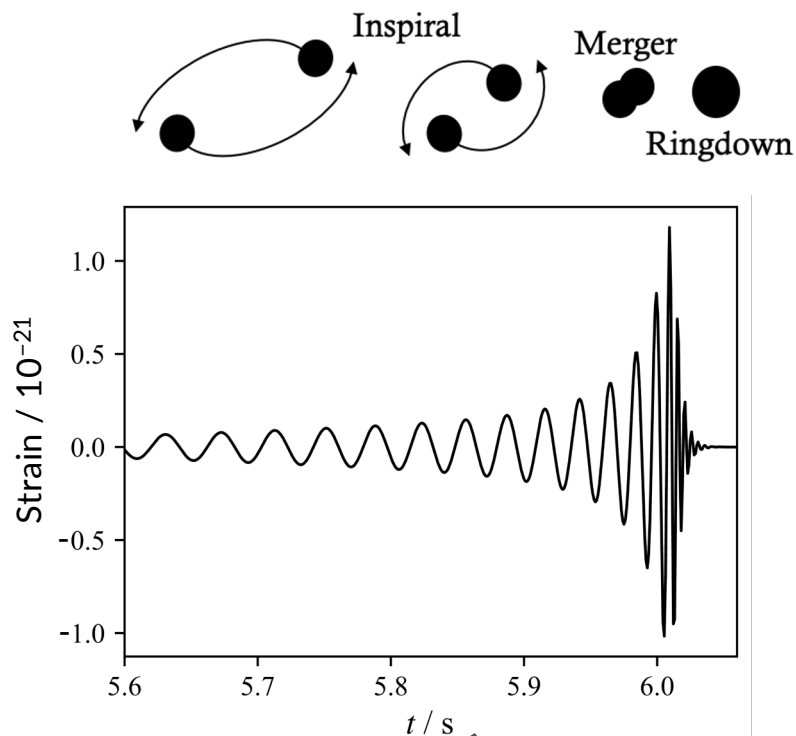


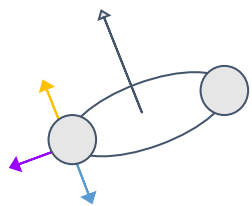
Sources

All signals so far have come from the merger of two **compact objects**: **neutron stars** and **black holes**

We analyse data to **infer source properties** like masses, spins, distance and sky location

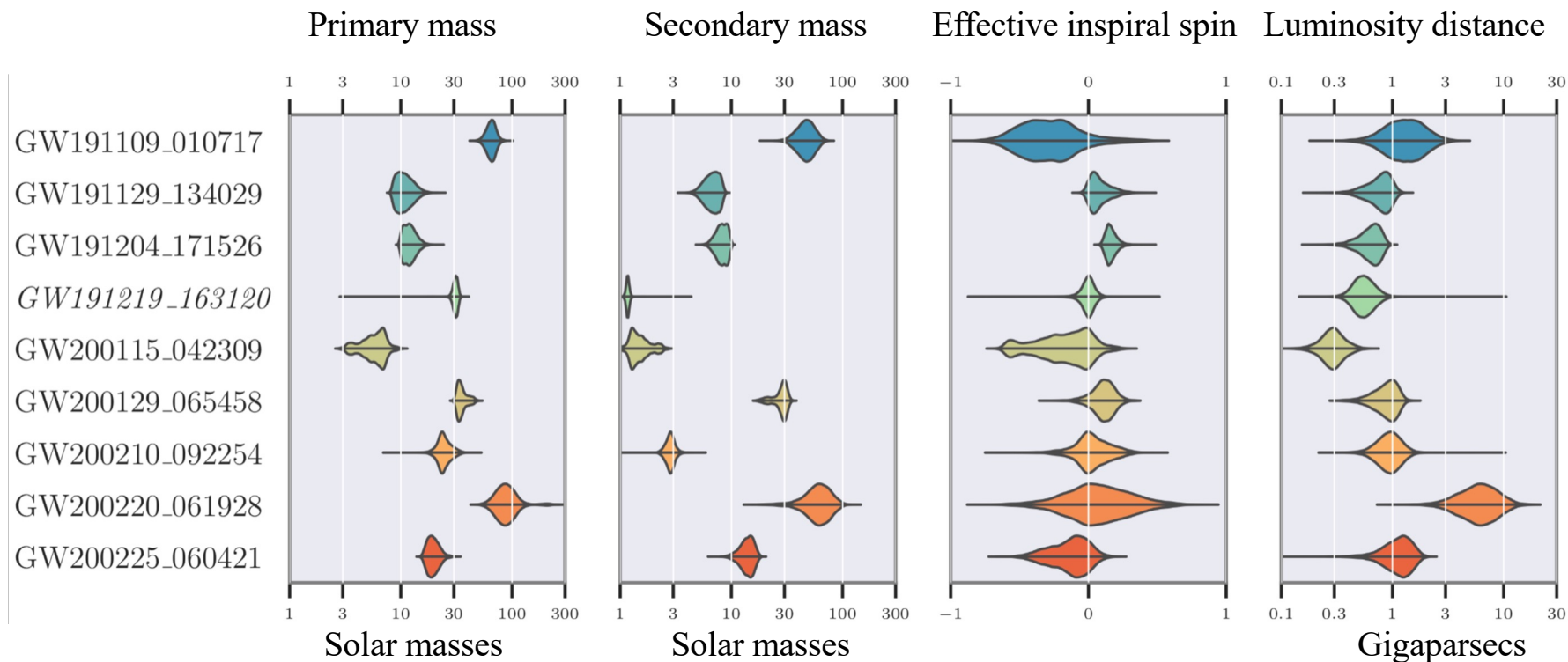
We use the same **waveform models** as **GWTC-2.1**





Highlighted events

- negative effective inspiral spin,
2nd most massive in O3b
- least massive BBH in O3b
- positive effective inspiral spin
- NSBH, most extreme mass ratio
- NSBH
- misaligned spin
- NSBH?
- most massive in O3b
- negative effective inspiral spin



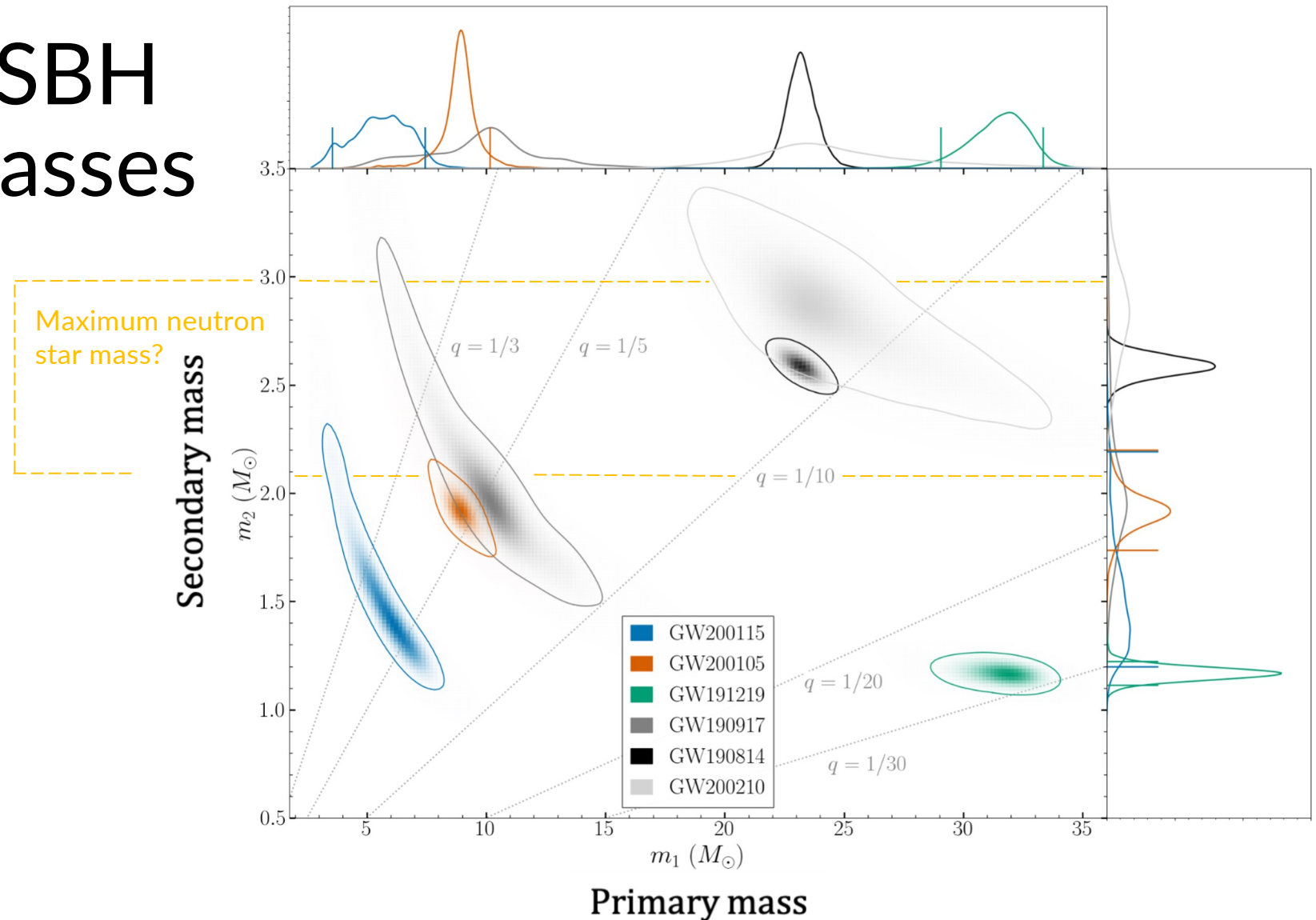
NSBH masses

Mass ratio q is ratio of secondary to primary mass:

$$q = \frac{m_2}{m_1}$$

Coloured contours in this plot are **confident** neutron star–black hole pairs

Grey contours in this plot are **ambiguous**, with secondary that may be a black hole or a neutron star



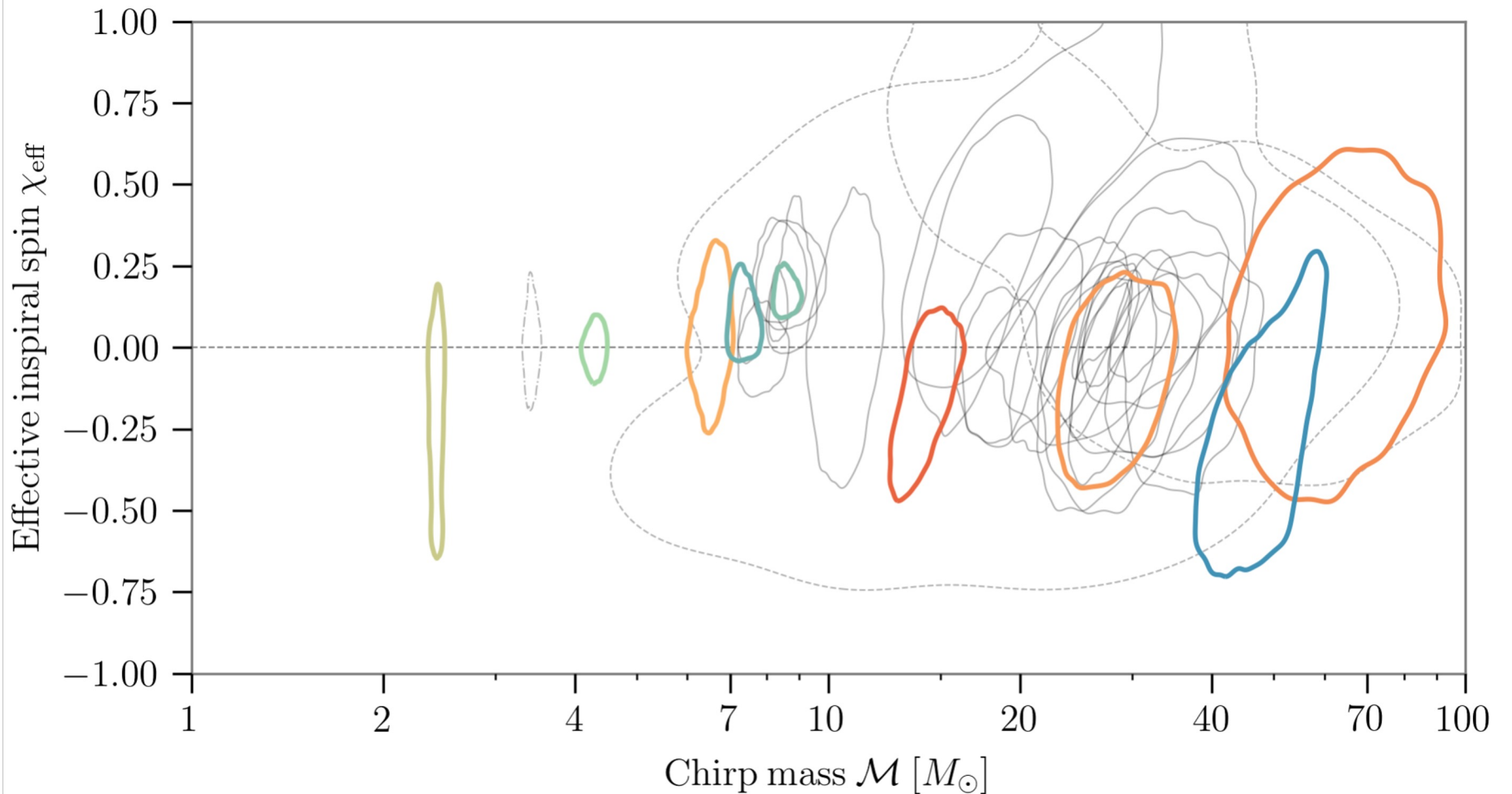
Masses & spins $\mathcal{M} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}}$ $\chi_{\text{eff}} = \frac{(m_1 \vec{\chi}_1 + m_2 \vec{\chi}_2) \cdot \vec{L}_N}{(m_1 + m_2)}$

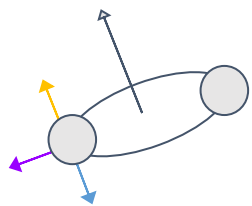
Most **effective inspiral spins consistent with zero**

Some events with significant support for negative effective inspiral spins

More events have significant support for positive effective inspiral spins

Consistent with **GWTC-2.1**



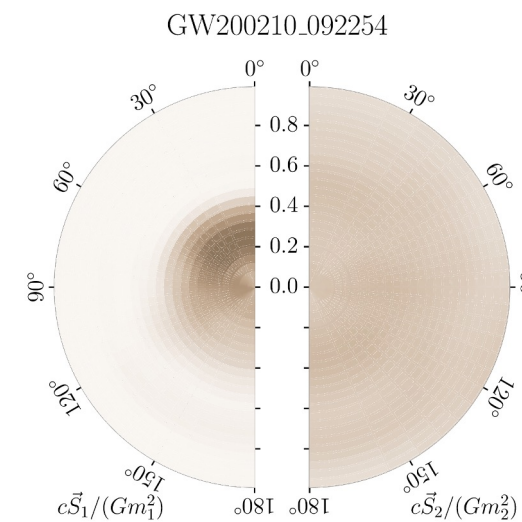
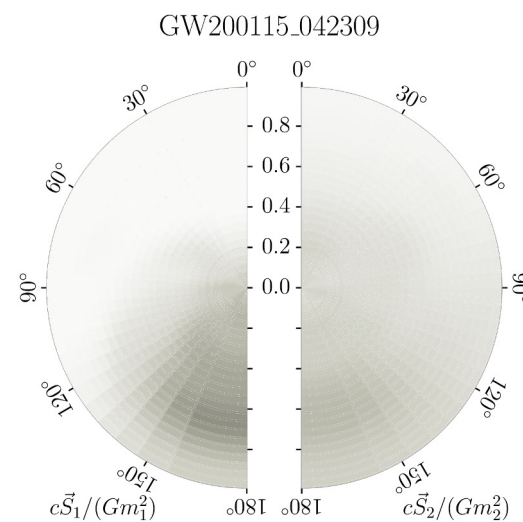
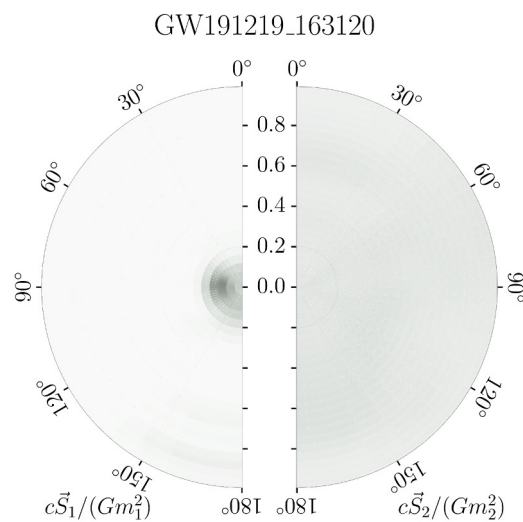


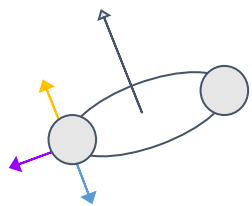
NSBH spins

Primary spin better measured as more important for dynamics

Spin components in the orbital plane better measured for more extreme mass ratios

Spins **approximately aligned** with orbital angular momentum expected for [binaries formed in isolation](#)

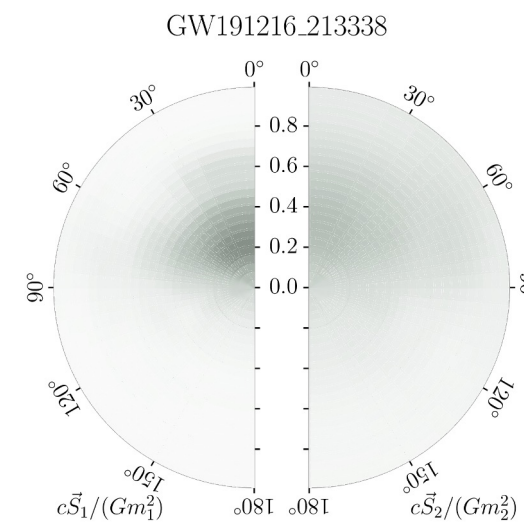
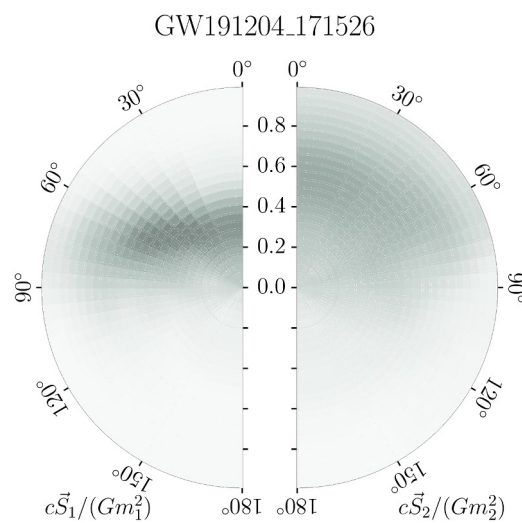
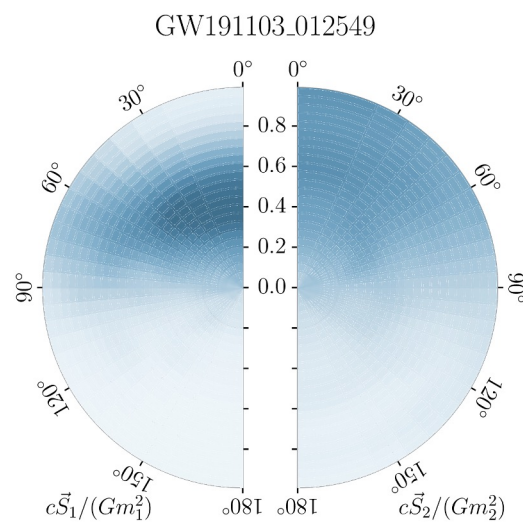


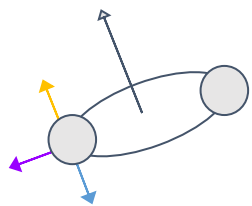


BBH spins: small and positive

Spins expected to be small if angular momentum transfer is efficient in stars

Spins in X-ray binaries extend close to the Kerr limit of 1



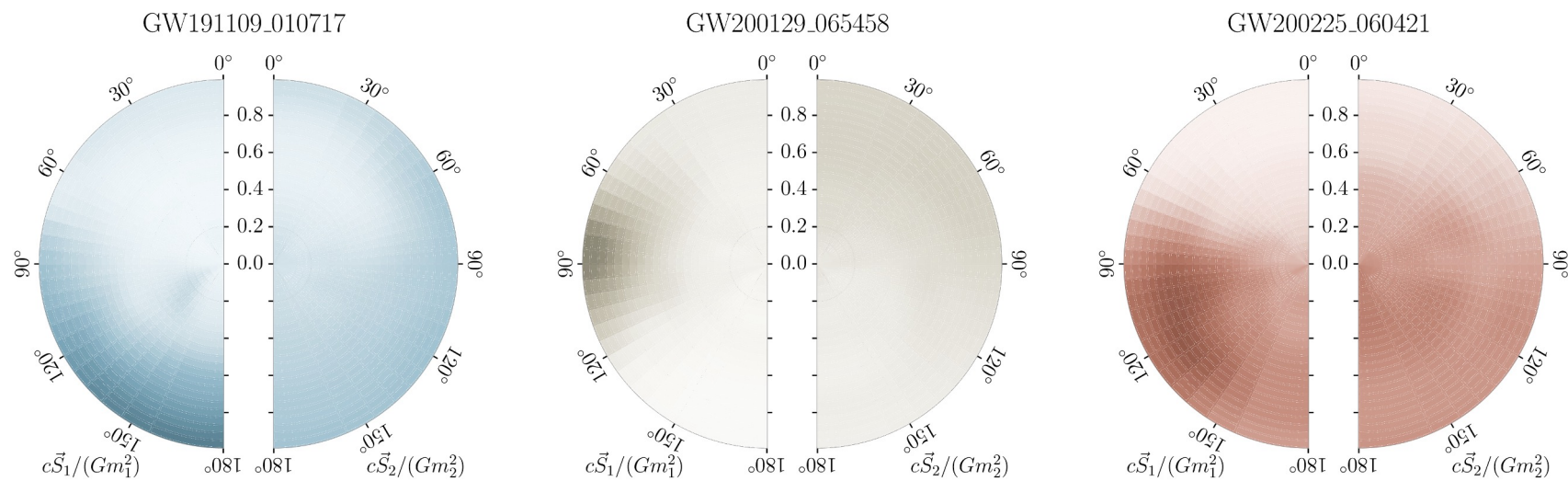


BBH spins: misaligned or negative

Misaligned spins
expected for binaries
formed dynamically

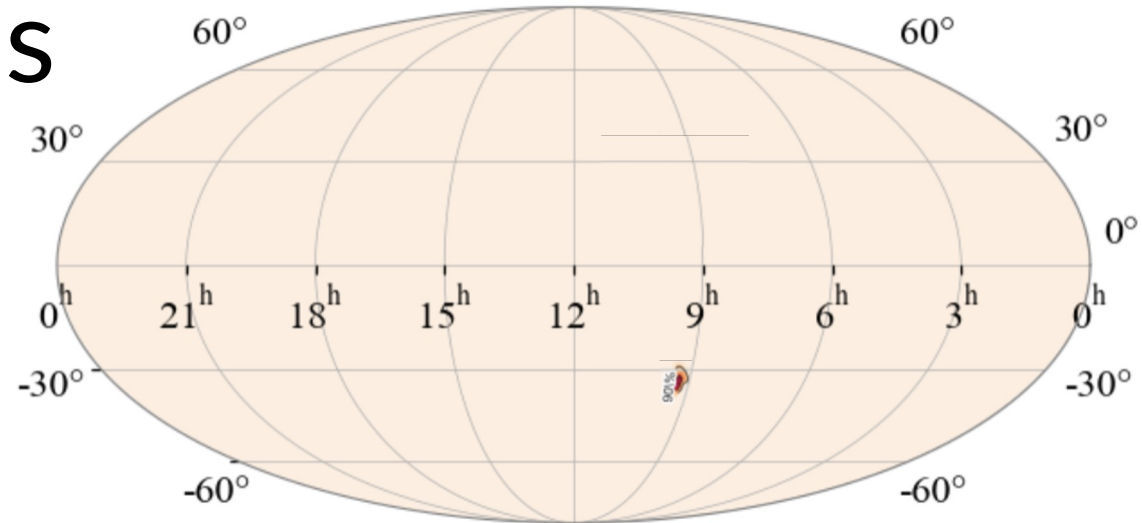
Equal-mass mergers
produce spins around
0.7

GW200129 shows best
evidence for
misaligned spins



Locations

GW200208_130117



Localisation strongly depends on number of detectors observing a signal

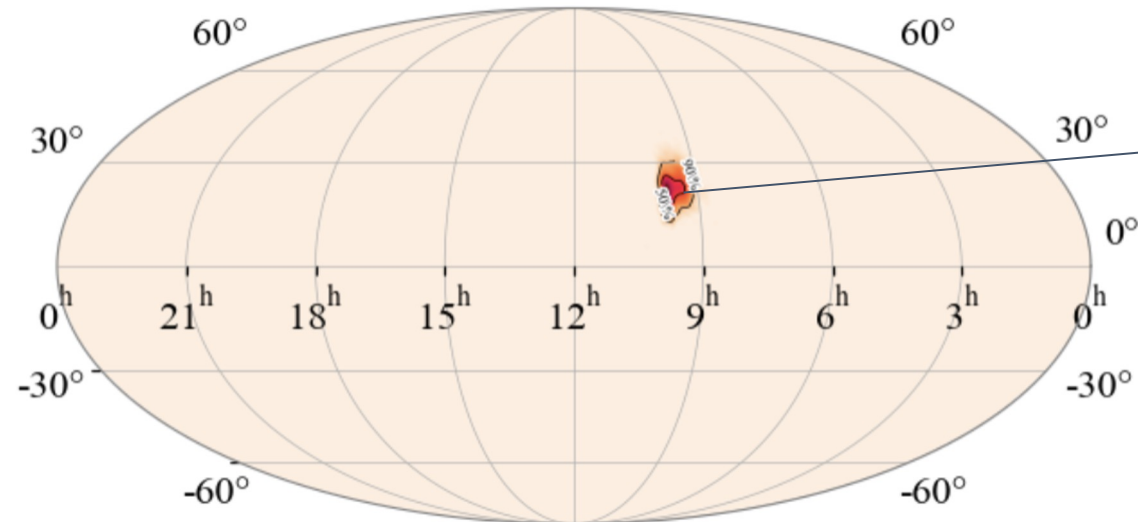
Smallest 90% credible sky area is

[GW200208_130117](#)

with **30 deg²** (compare to Moon's area of 0.2 deg²!)

Smallest 90% credible sky volume localised is [GW200202](#) with **0.0024 Gpc³**

GW200202_154313



Data

Data products mirror the release for [GWTC-2.1](#)

Notebooks and example **scripts** included with data products

[Gravitational Wave Open Data Workshops](#) provide more resources to understand data analysis

Strain data

Bulk data release available from www.gw-openscience.org/O3/O3b/

Data products

Analysis results available from www.gw-openscience.org/GWTC-3/

- [Data-quality files](#)
- [Glitch-subtracted data](#)
- [Candidate list](#)
- Search sensitivity ([O3](#), and [O1+O2+O3](#))
- [Parameter-estimation results](#)
- [Data behind the figures](#)

Summary

A total of **90** candidates with $p\text{-astro} > 0.5$ plus many more lower probability candidates

Applications to **cosmology** (9 Dec), **astrophysics** (10 Dec) and **tests of general relativity** (20 Jan)

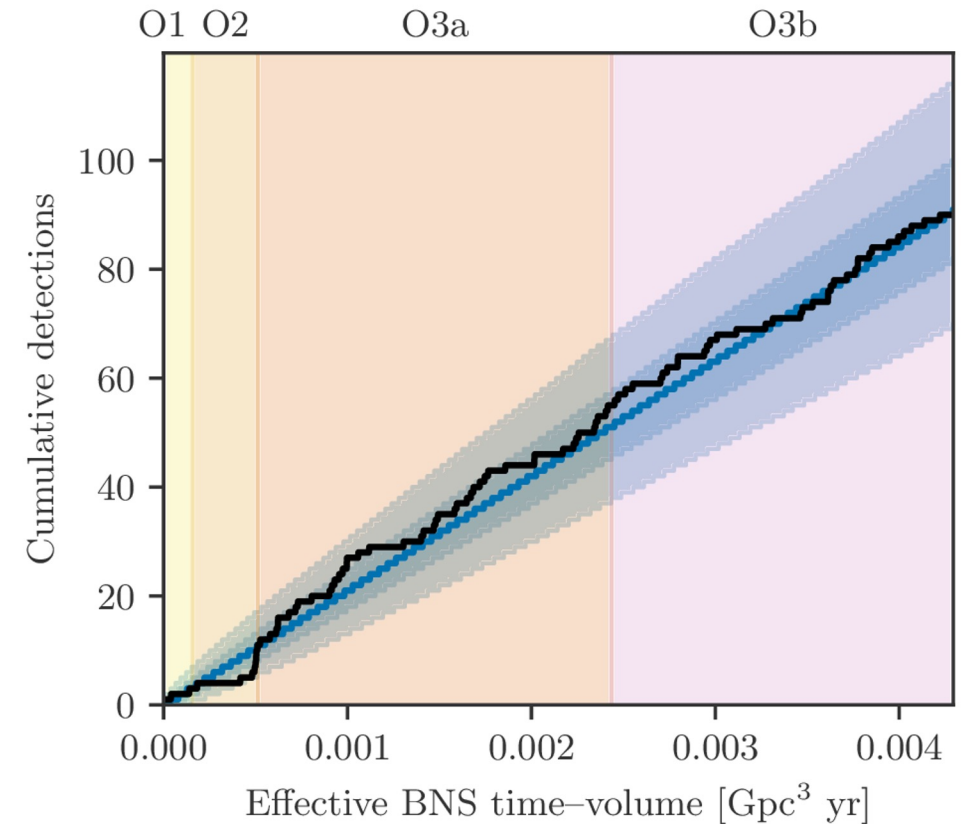
O3 saw the detector network reach its greatest performance to date

35 O3b candidates with $p\text{-astro} > 0.5$

O3b candidates have a diverse range of masses and spins, and include confident **neutron star–black holes**

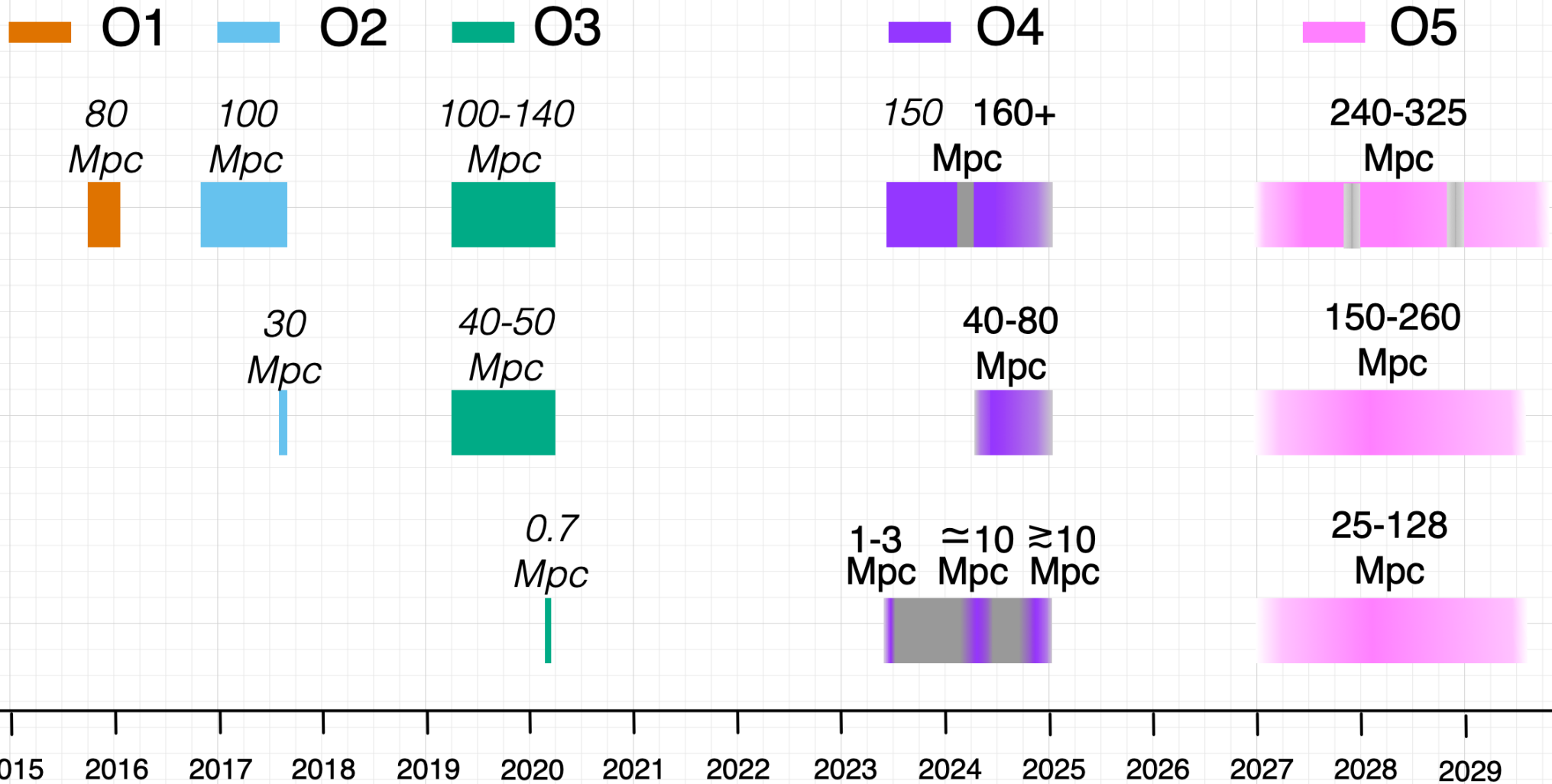
[Interactive catalog](#)

<https://catalog.cardiffgravity.org/>



LIGO, VIRGO AND KAGRA OBSERVING RUN PLANS

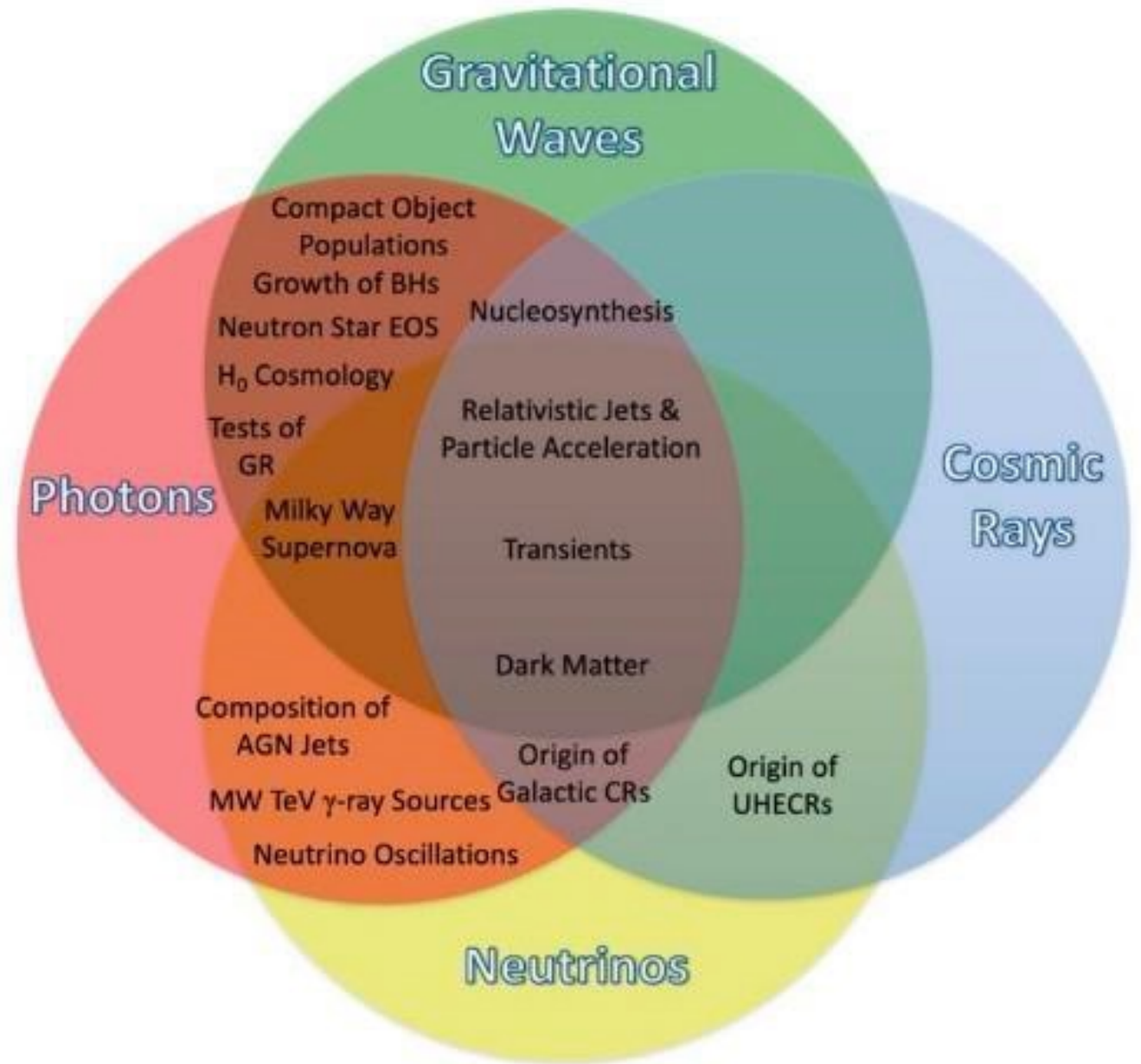
Updated
2023-11-16



G2002127-v22

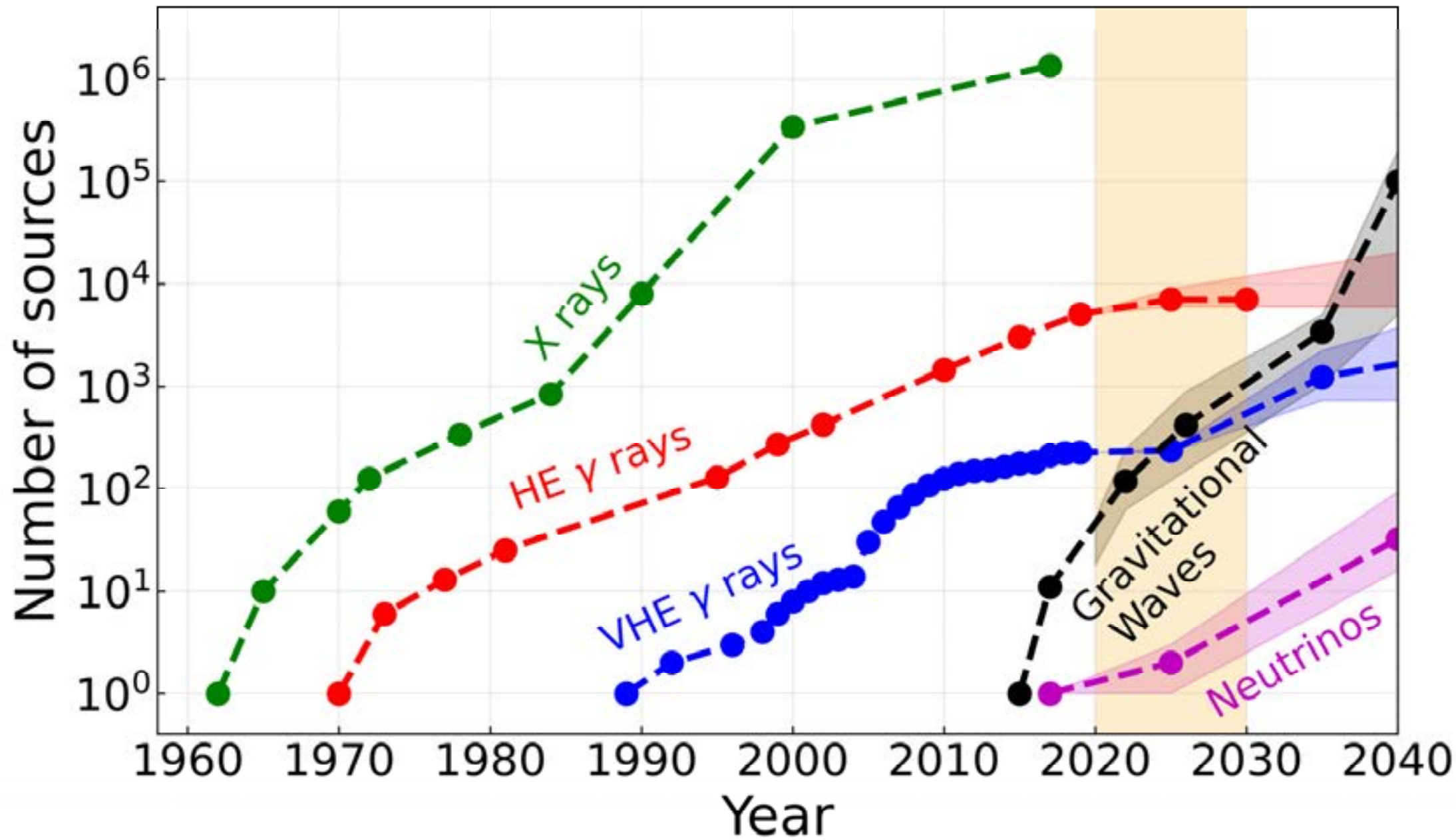
CONSENSUS STUDY REPORT

Pathways to Discovery in Astronomy and Astrophysics for the 2020s



The panel sees a compelling opportunity to dramatically open the discovery space of astronomy through a bold, broad multi-messenger program, with three components:

- ***Neutrino program:*** A large-scale (MREFC) investment by the National Science Foundation (NSF) in IceCube-Gen2, to resolve the bright, hard-spectrum, TeV–PeV diffuse background discovered by IceCube into discrete sources and to make first detections at higher energies.
- ***Gravitational-wave program:*** Medium-scale investments in three bands (kHz, nHz, and mHz) to develop a rich observational program: **Cosmic Explorer, with NSF support for technology development to set the stage for large-scale investments and huge detection rates in the 2030s**; the North American Nanohertz Observatory for Gravitational Waves (NANOGrav), with NSF support for expanded operations in the 2020s; and the Laser Interferometer Space Antenna (LISA), with National Aeronautics and Space Administration (NASA) support for a broad scope of activities to build a vibrant U.S. community for significant science contributions in the 2030s.
- ***Gamma-ray program:*** Medium-scale investments that support observations over a wide energy range, with two components. (In this report, for simplicity we use “gamma-ray” to mean photons at or above hard X-ray energies.) First, a NASA Probe-scale mission, targeted to multi-messenger astronomy, with sensitivity in the keV–MeV–GeV range and with capabilities for the identification, localization, and characterization of transients. This would be selected by competitive review; potential projects include the All-sky Medium Energy Gamma-ray Observatory (AMEGO), the Advanced Particle-astrophysics Telescope (APT), or the Transient Astrophysics Probe (TAP). Second, U.S. participation in TeV-range ground-based experiments for precision studies—for example, the Cherenkov Telescope Array (CTA) and the Southern Wide-Field Gamma-Ray Observatory (SWGGO)—as NSF medium-scale projects. **All of these projects will be valuable themselves—gamma rays reveal processes that longer-wavelength photons cannot—and will greatly enhance the returns of neutrino and gravitational-wave observatories.**



Technology Development for Future Ground-based Gravitational Wave Observatories



Gravitational wave detection is one of the most exciting and expanding scientific frontiers impacting central questions in astronomy

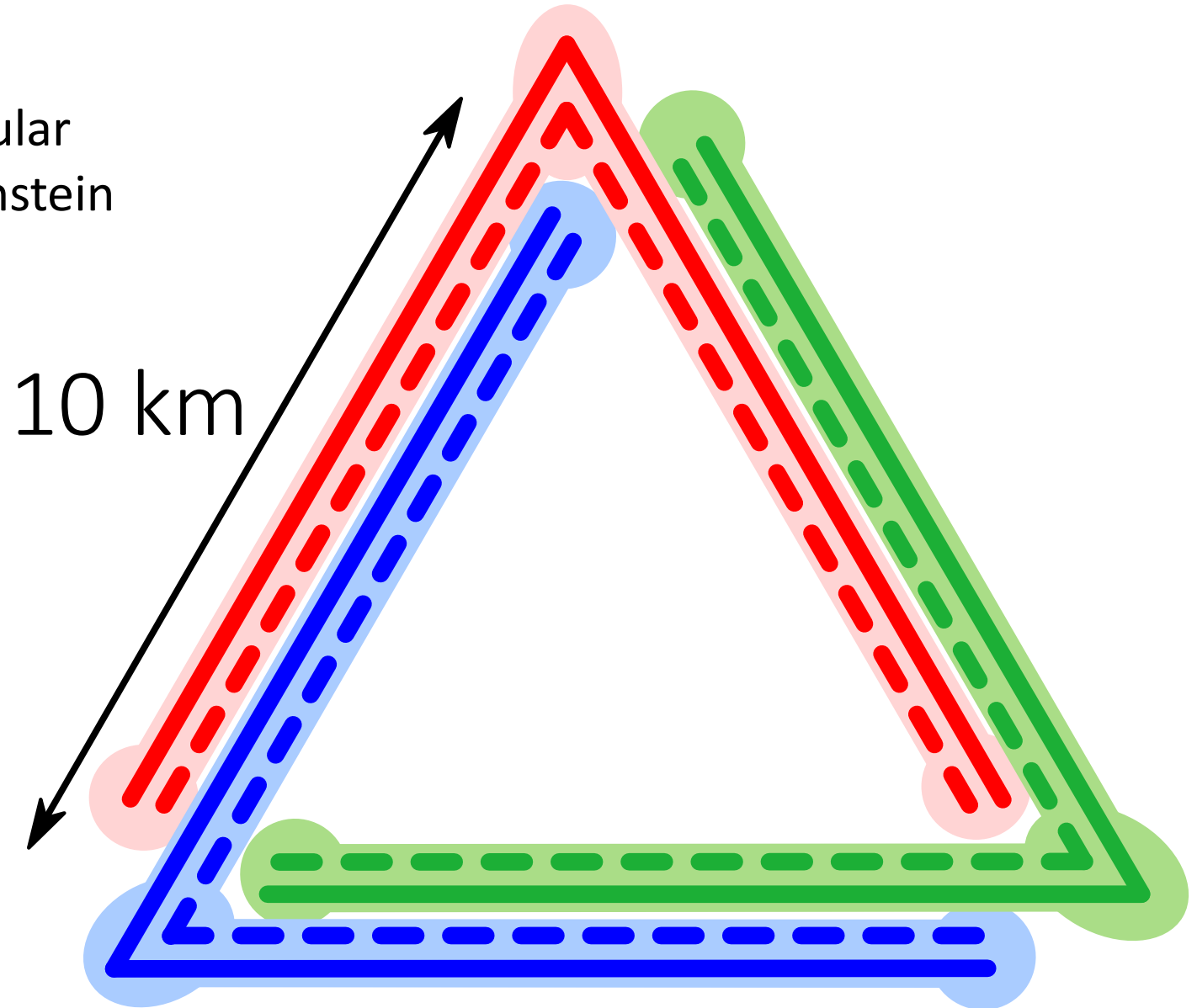
- Directly relevant to two Astro2020 priority areas: New Windows on the Dynamic Universe, Hidden Drivers of Galaxy Formation

More advanced detectors in the current LIGO facility (beyond A+) and planning for future generation facilities such as Cosmic Explorer are essential

Conclusion: ... Continuous technology development will be needed this decade for next generation detectors like Cosmic Explorer. These developments will also be of benefit to the astrophysical reach of current facilities.

Einstein Telescope concept

Three nested detectors in a triangular arrangement will form the final Einstein Telescope geometry.



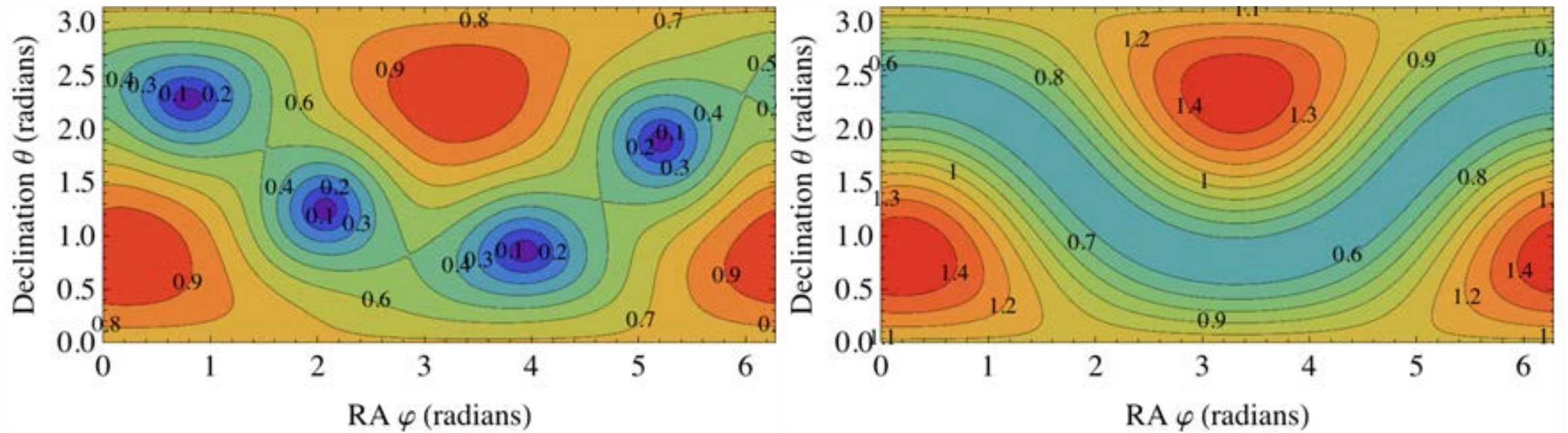
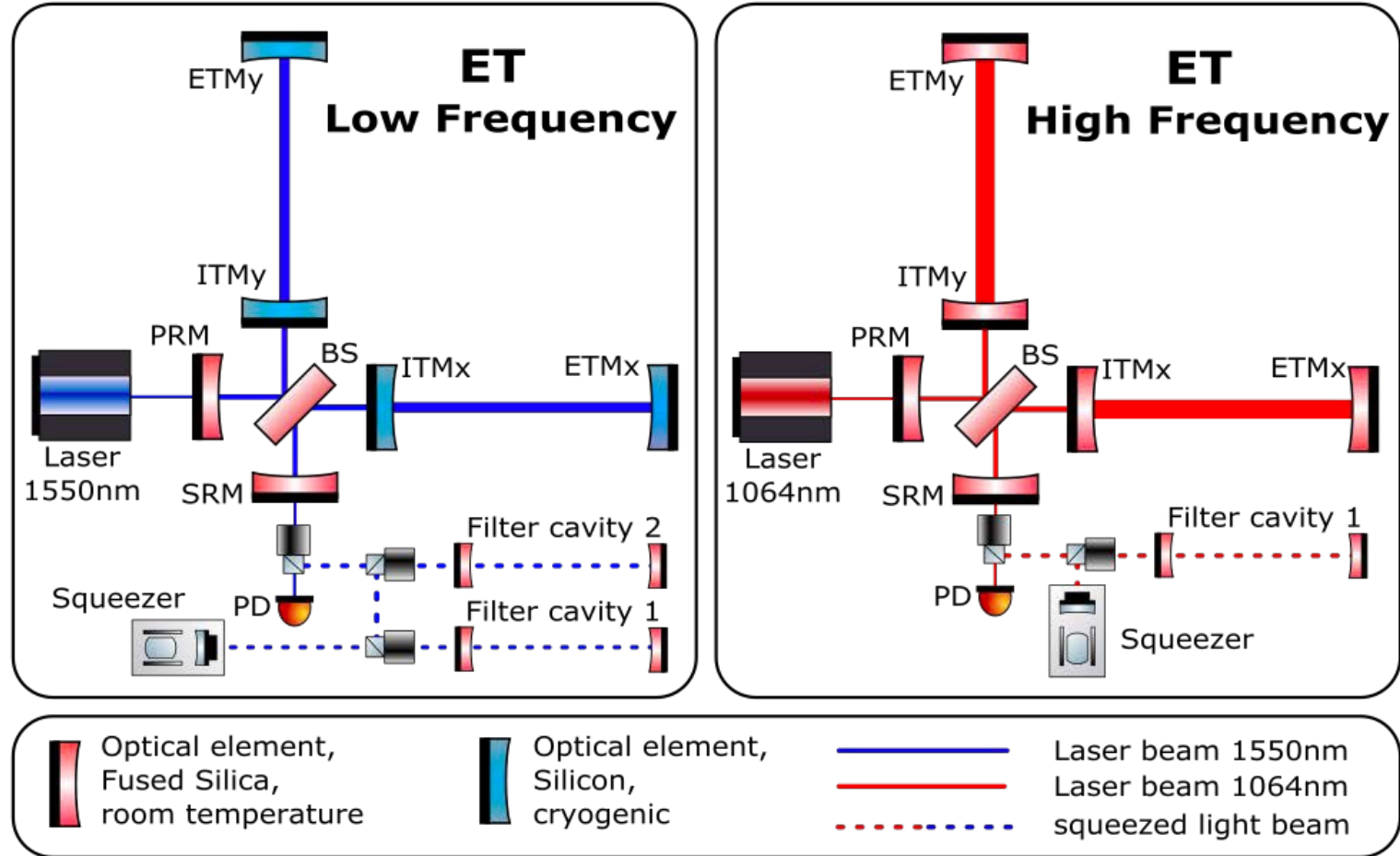
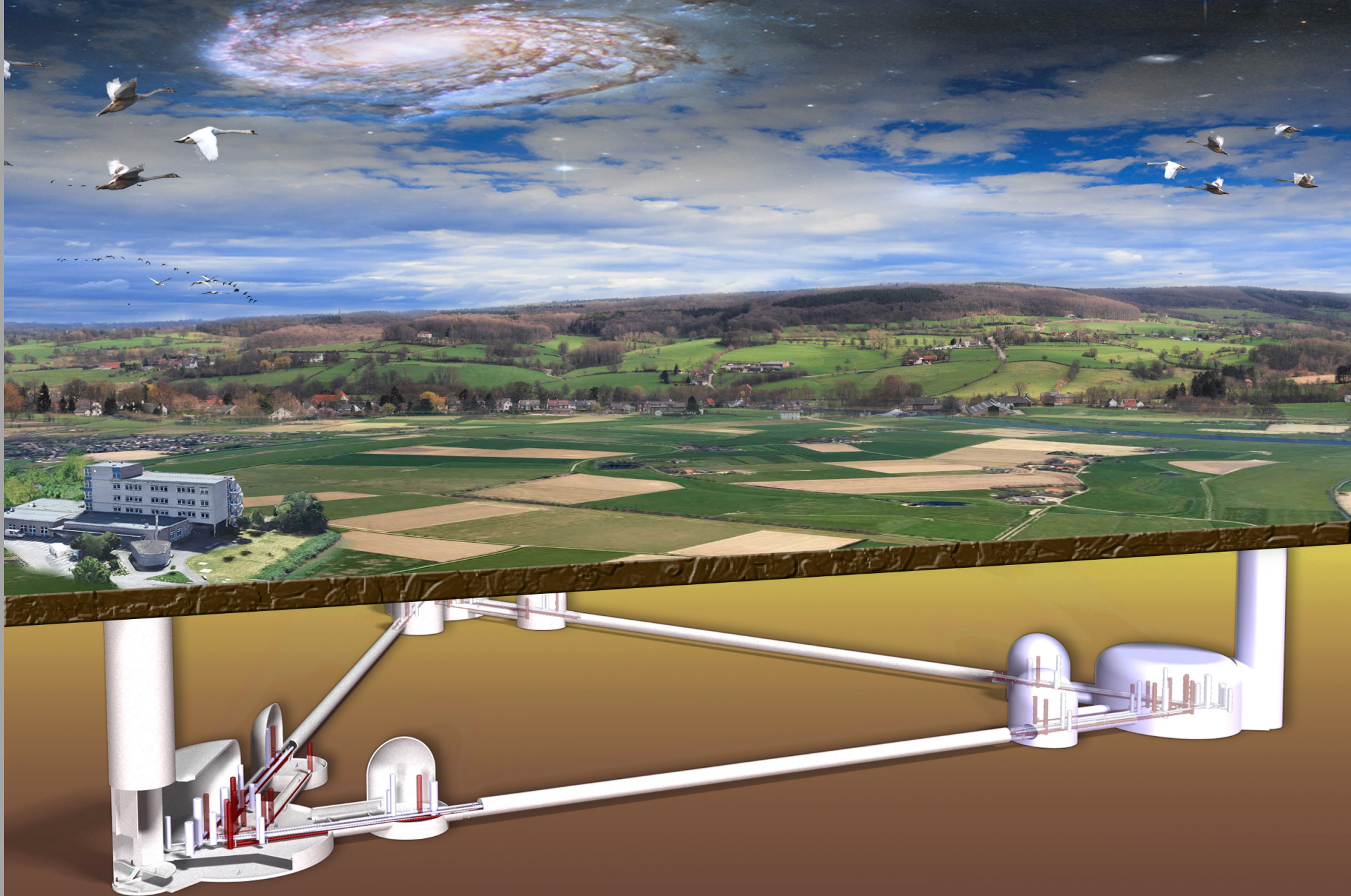


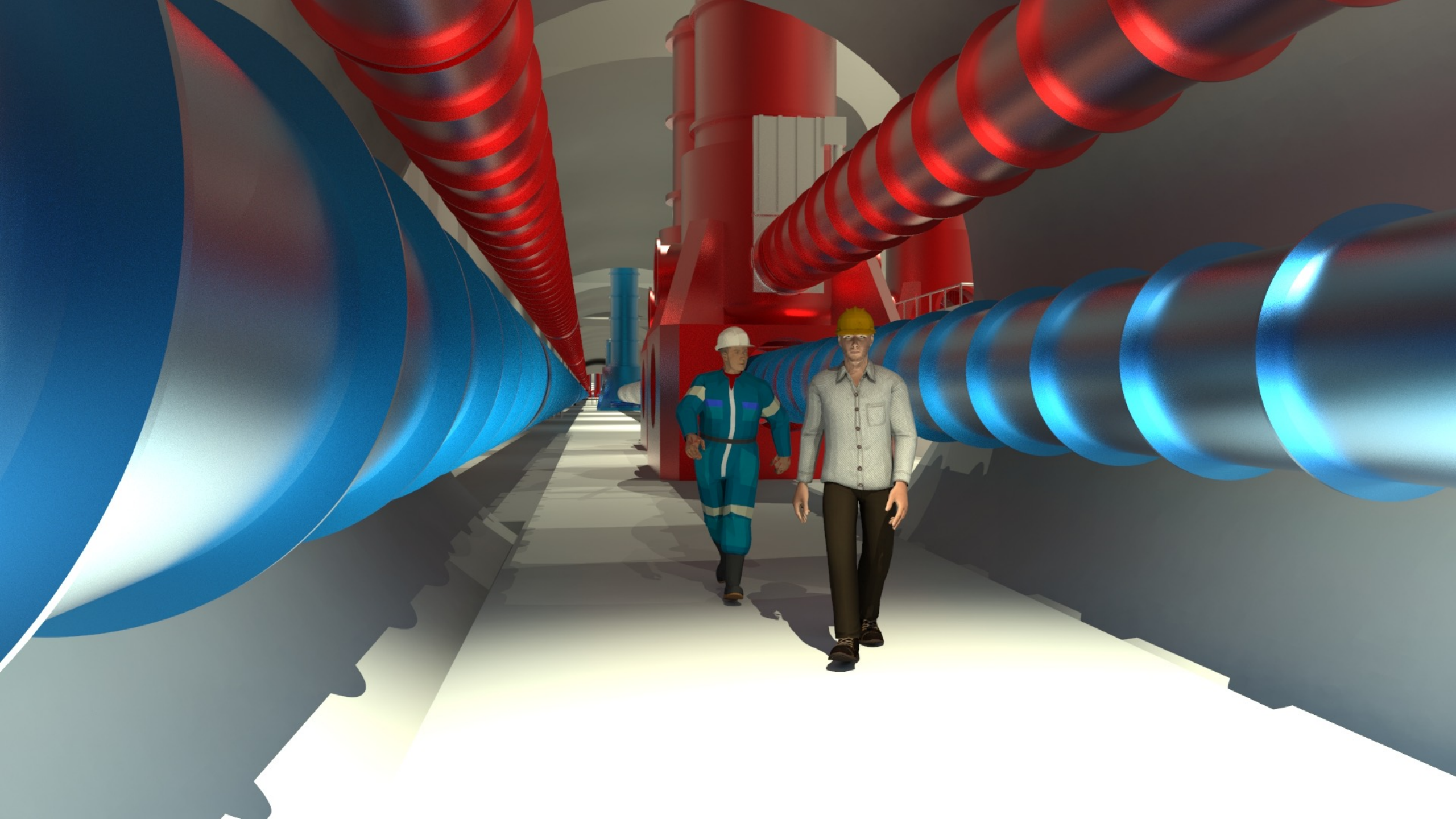
Figure 1.4: Antenna pattern of ET (right panel) compared to that of Virgo (left panel). ET is assumed to be at the same location as Virgo. Note that Virgo is a *single* L-shaped detector while ET consists of *three* V-shaped interferometers rotated relative to one other by 120 deg . The combined antenna pattern of the three detectors in ET (defined as $F^2 = \sum_{A=1}^3 F_A^2$, where F_1, F_2, F_3 are the individual antenna pattern functions) makes the response the same for all sources whose sky location makes the same angle to the plane formed by ET (see *e.g.* contours marked 0.6).

- The baseline for ET is a 2-band xylophone detector configuration, composed of a low-frequency (ETLF) and a high-frequency (ET-HF) interferometer.
- Both interferometers are Michelson interferometers featuring 10 km arm length with an opening angle of 60 degrees.
- Due to their similar geometry both detectors will share common tunnels.

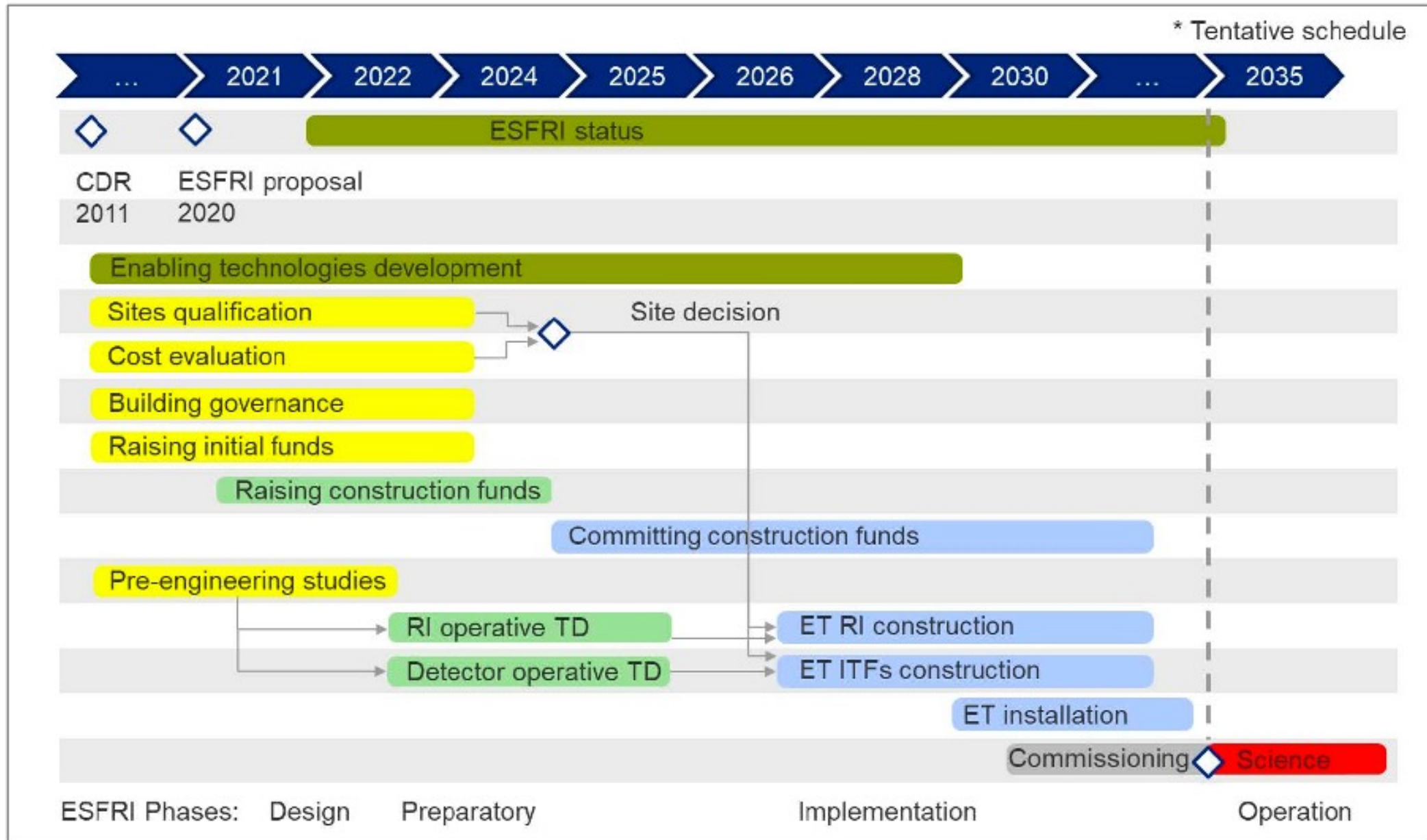


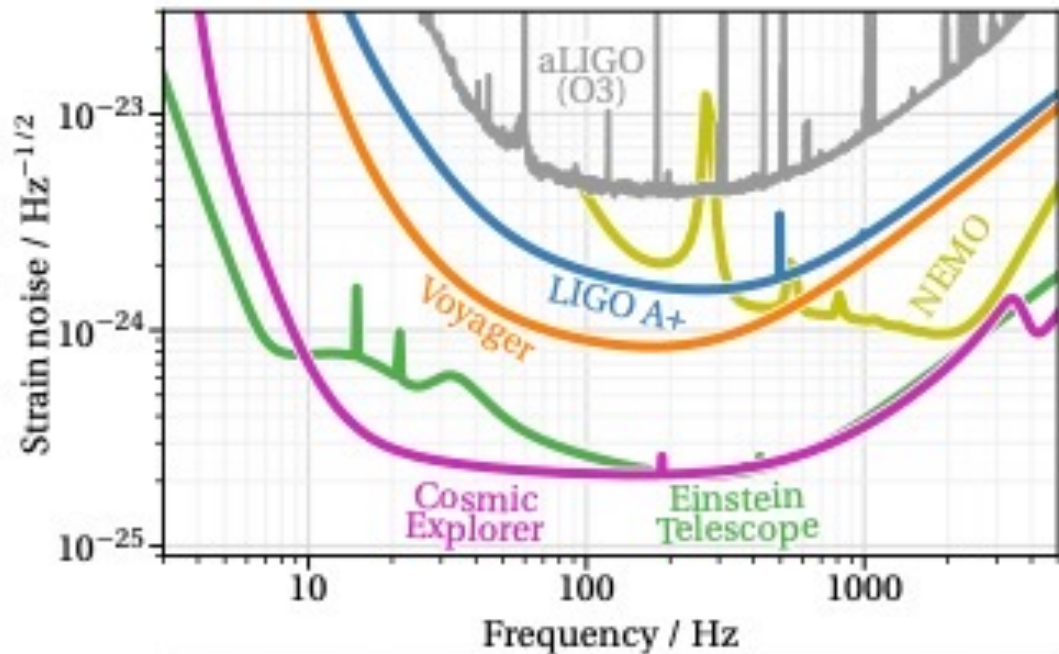






Einstein Telescope timeline



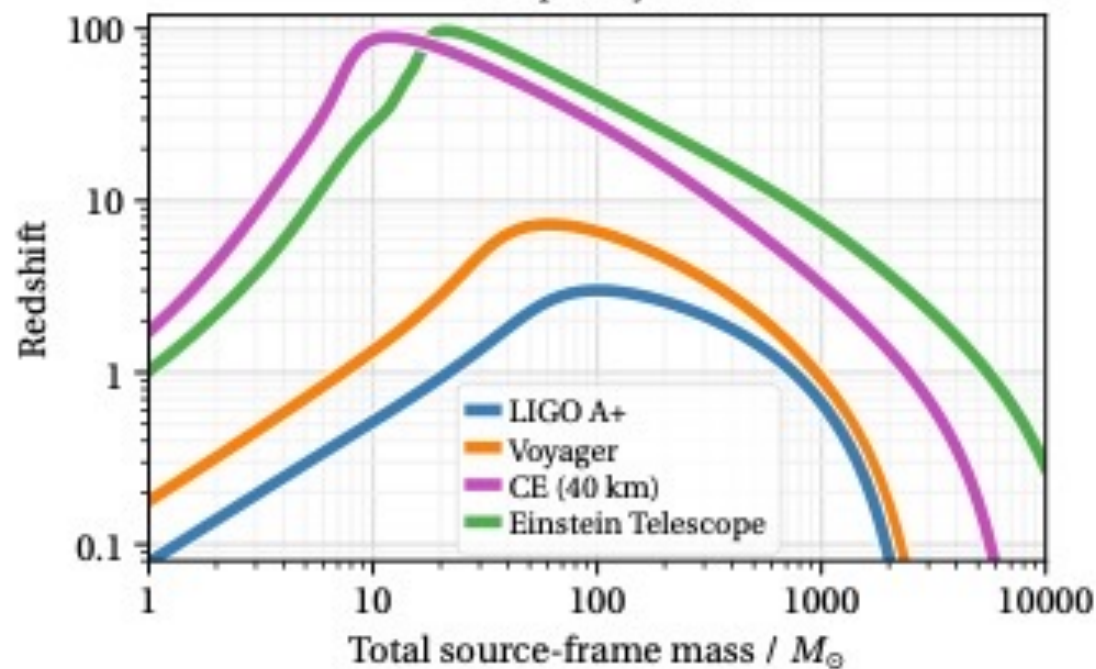


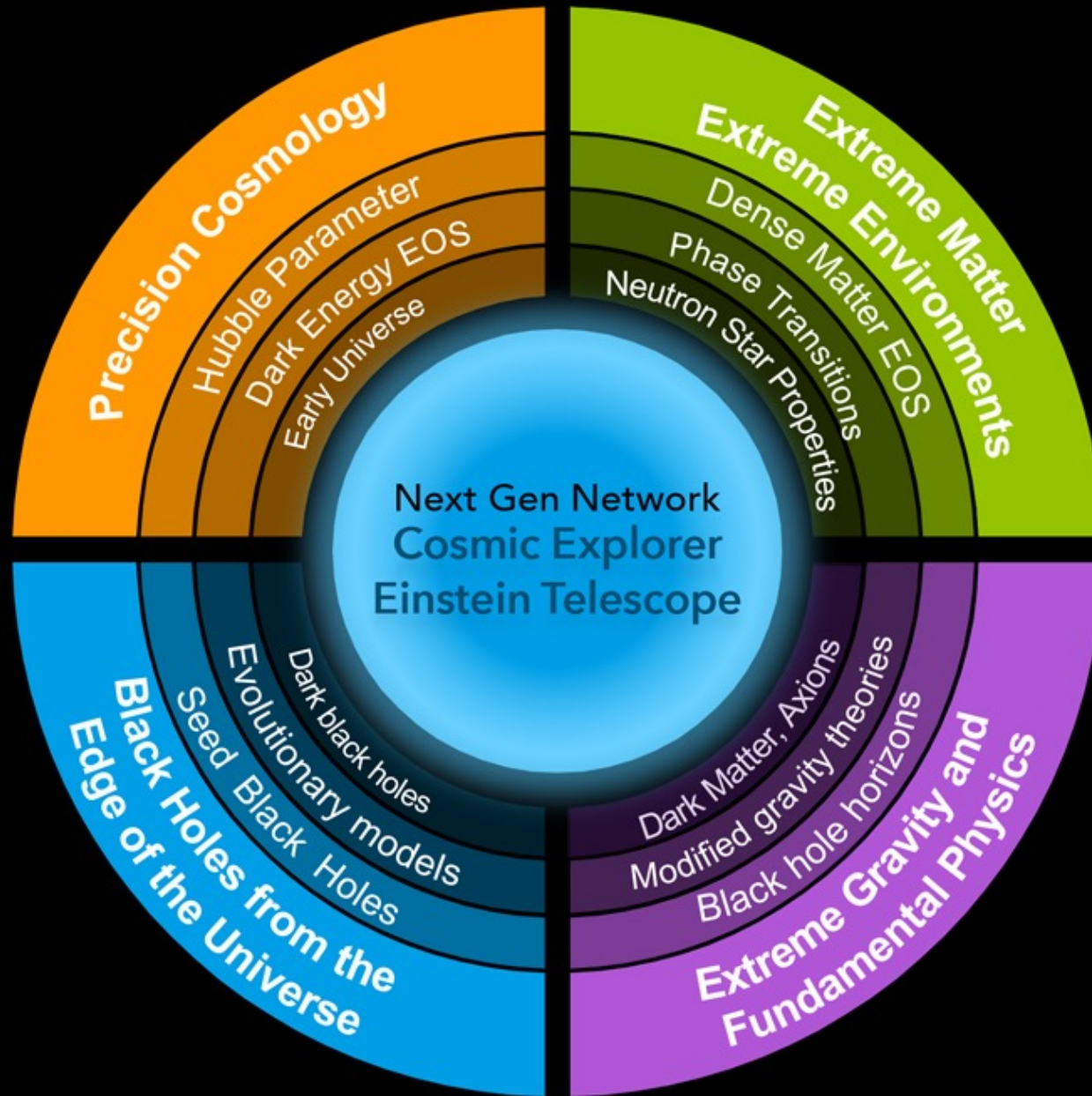
Top: Amplitude spectral densities of detector noise for Cosmic Explorer (CE), the current (O3) and upgraded (A+) sensitivities of Advanced LIGO, LIGO Voyager, NEMO, and the three paired detectors of the triangular Einstein Telescope.

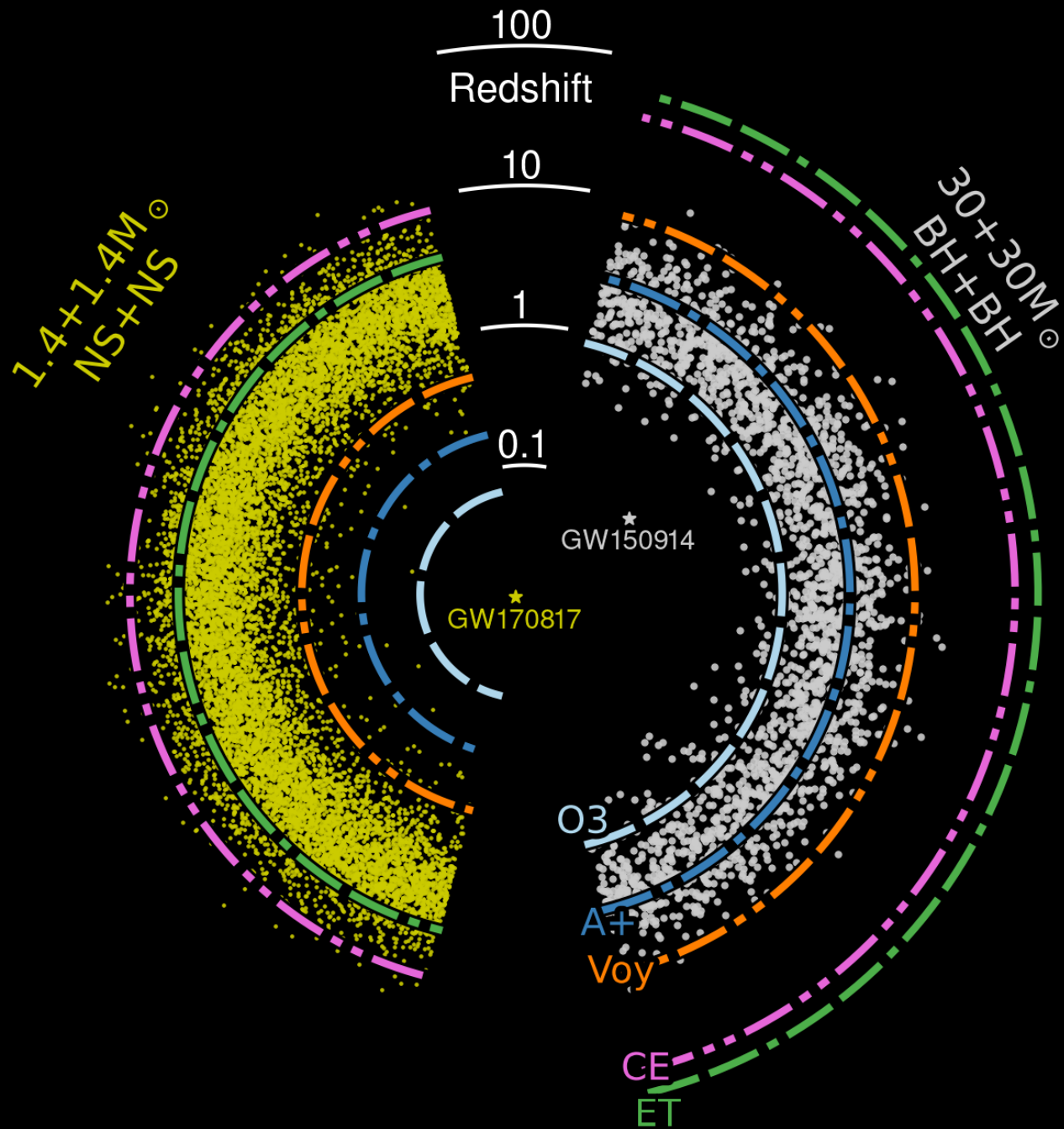
Bottom: Maximum redshift (vertical axis) at which an equal-mass binary of given source-frame total mass (horizontal axis) can be observed with a signal-to-noise ratio of 8. Different curves represent different detectors.

For binary neutron stars (total mass $\sim 3M_{\odot}$), ET and CE will give access to redshifts larger than 1, where most of the mergers are expected to happen.

For binary black holes, they will enable the exploration of redshifts of 10 and above, where mergers of black holes formed by either the first stellar population in the universe (Pop III stars) or by quantum fluctuations shortly after the Big Bang (primordial black holes) might be found.



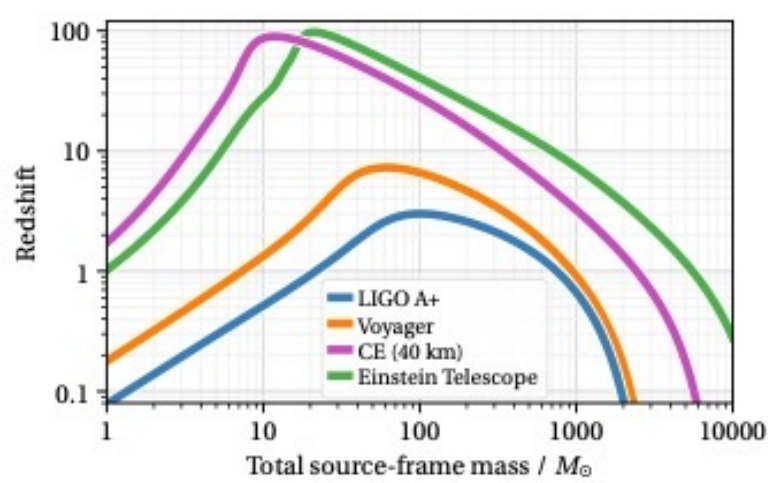




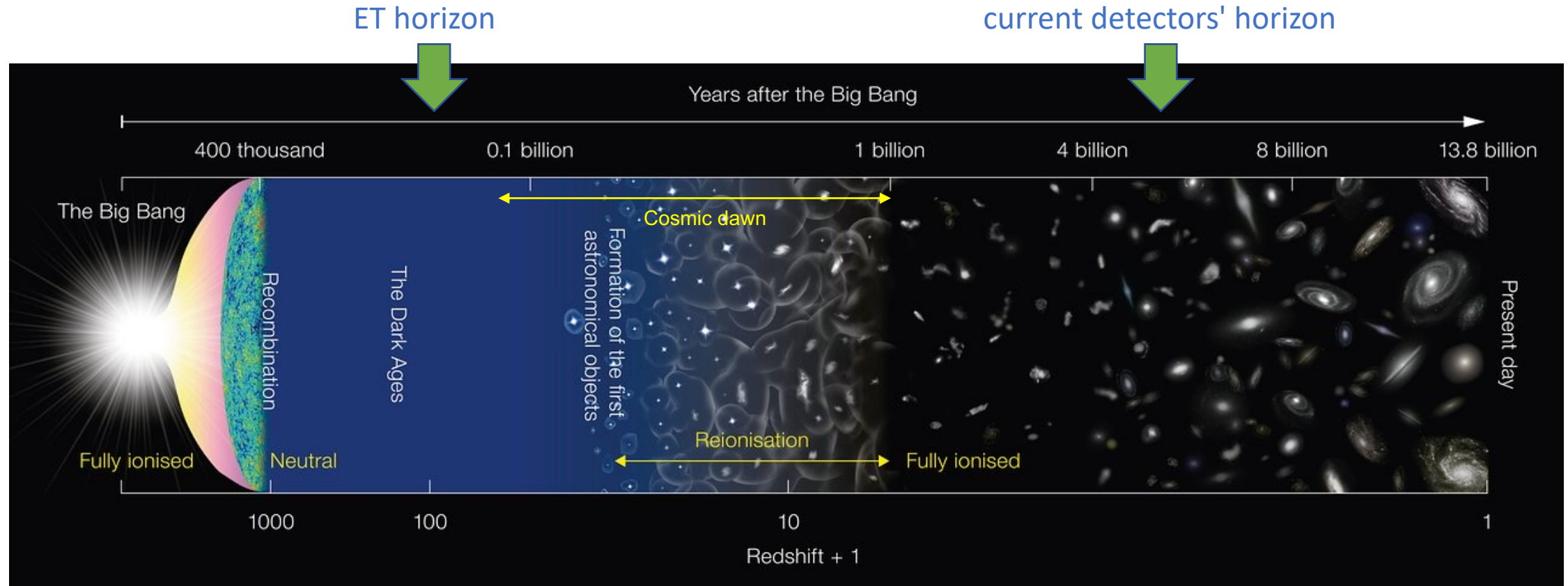
Astrophysical horizon of current and proposed future detectors for compact binary systems.

The lines indicate the maximum redshift at which a detection with signal-to-noise ratio 8 could be made. The detectors shown here are Advanced LIGO during its third observing run (“O3”), Advanced LIGO at its anticipated sensitivity for the fifth observing run (“A+”), a possible cryogenic upgrade of LIGO called Voyager (“Voy”), the Einstein Telescope (“ET”), and Cosmic Explorer (“CE”).

The yellow and white dots are for a simulated population of binary neutron star mergers and binary black hole mergers, respectively, following the Madau and Dickinson stellar formation rate.



The 3G detectors shall look deep into the *Dark Ages* of the Universe, down to times before the start of the *Cosmic Dawn* – the period from about 50 million years to one billion years after the Big Bang when the first stars, black holes, and galaxies in the Universe formed – and thus may be able to get a glimpse of the history of **Population III stars**.



• COSMIC DAWN

The *cosmic dawn* is the epoch extending from redshift $z \sim 20$ when the universe was only a few hundred million years old to redshift $z \sim 6$, corresponding to about one billion year. During that epoch, dark matter haloes begin to collapse and the first stars, the first black holes and galactic discs start to form and grow, lightening up the universe. Around $z \sim 11 - 6$ the universe completed the phase of cosmic *re-ionization* of gas turning neutral hydrogen and helium, into a hot tenuous intergalactic plasma. The farthest QSO ULAS J1120+0641, Gamma Ray Burst GRB 090423 and galaxy MACS0647-JD, detected at the limits of current capabilities, were in place when the universe was less than one billion years old, at redshift $\sim 7, 8, 9$, respectively. They are the brightest sources probing the tip of an underlying distribution of fainter early objects, the less luminous pre-galactic structures and black holes for which little is known. Even the brightest QSOs fade away in the optical due to the Gunn-Peterson trough* and the search for the deepest sources may be hindered by confusion due to crowding and the unresolved background light.

• COSMIC HIGH NOON

The *cosmic high noon* is an epoch of critical transformations for galaxies, extending from $z \sim 6$ to 2. Around redshift 3, the luminous QSOs and the star formation rate (SFR) have their *peak*. Galactic discs had much higher surface densities and gas fractions than now, and the nature of gravitational instabilities seeded in their amorphous structures and the physics of star's formation may have been different or more extreme than today. The cosmic-integrated star formation rate and the accretion rate of gas feeding black holes and their powerful outflows were probably at maximum strength around $z \sim 2$. Galaxy mergers during cosmic high noon were likely to be the force driving the process of galaxy assembly, star formation and black hole growth. The role of mergers is still a matter of dispute but it is at the base of our current paradigm of galaxy formation.

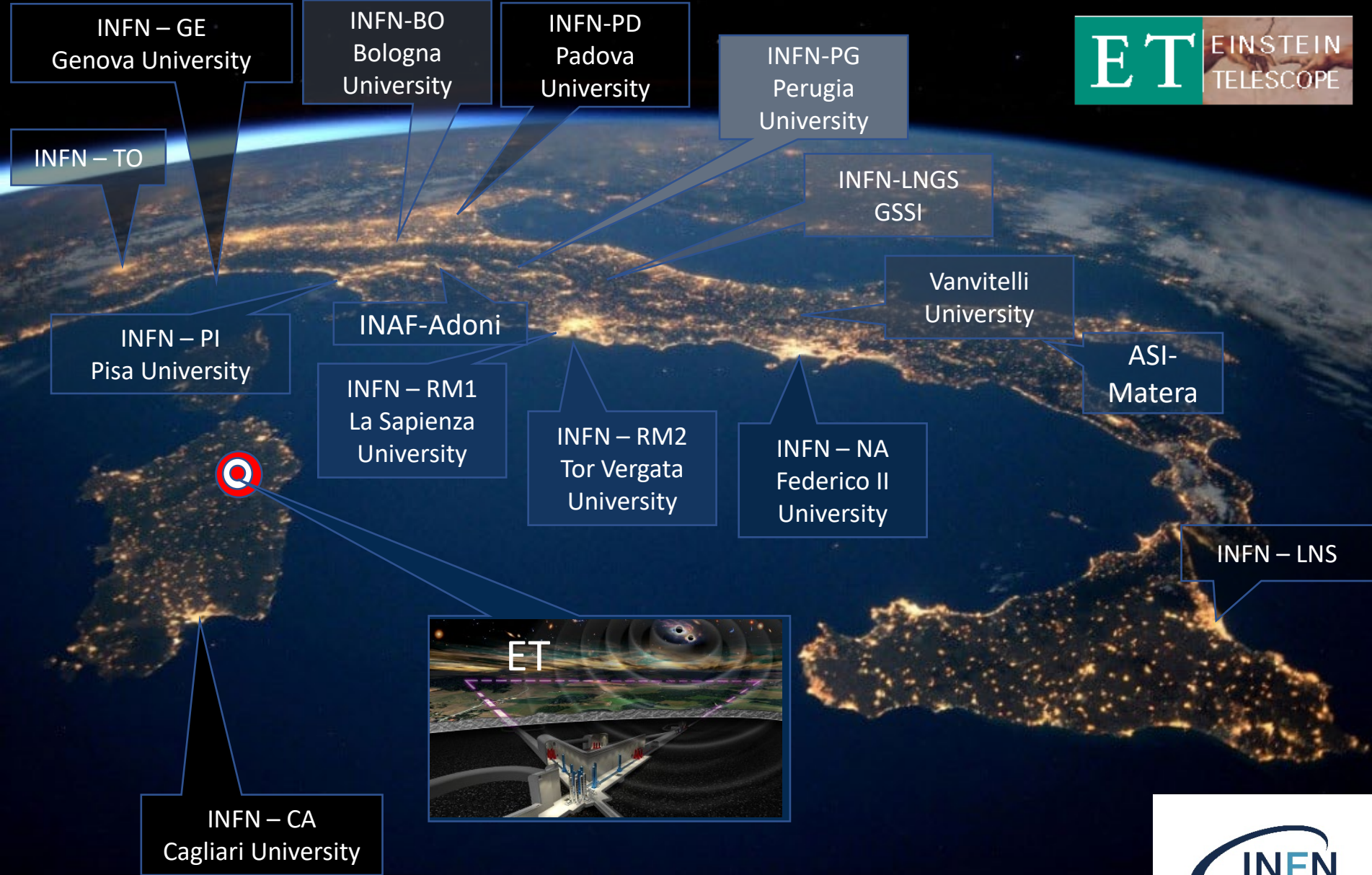
• COSMIC AFTERNOON

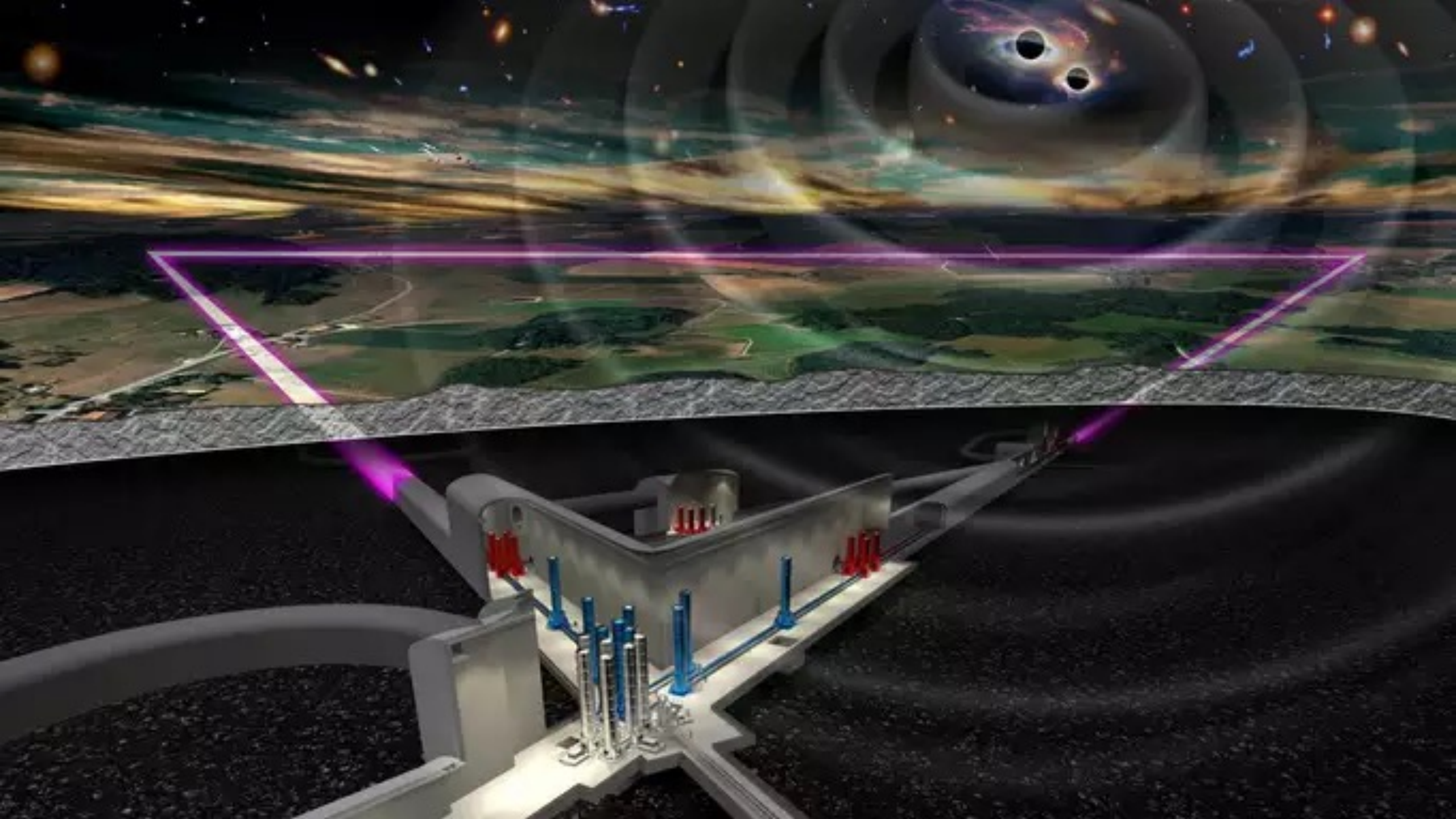
The *cosmic afternoon* corresponds to the epoch of decline of both the star formation and QSO's activity. It is a phase of relented evolution extending from $z \sim 1$ to the present. Observations of galaxies and of the less luminous active galactic nuclei (AGN) give a description of this quieter universe. Dormant black holes, as dark massive objects, are now found in near galaxies. Their mass correlates tightly with the mass of the stars in the host galaxy revealing the occurrence of a joint, symbiotic evolution that likely established during cosmic high noon and dawn. Among the galaxies, the Milky Way, our closest environment, is the perfect habitat for exploring the nature of all stellar populations, and in particular of compact objects, the white dwarfs, neutron stars and stellar black holes that we observe isolated or in binaries. Over the years the study of these sources allowed to unravel key processes of stellar evolution indicating, e.g. pathways for the formation of type Ia supernovae – standard candles for exploring the geometry of cosmic expansion – and evolution tracks for forming neutron star binary systems. Neutron star binaries have been the first cosmic laboratories to test General Relativity, giving unambiguous proof, albeit indirect, that gravitational waves exist in nature.

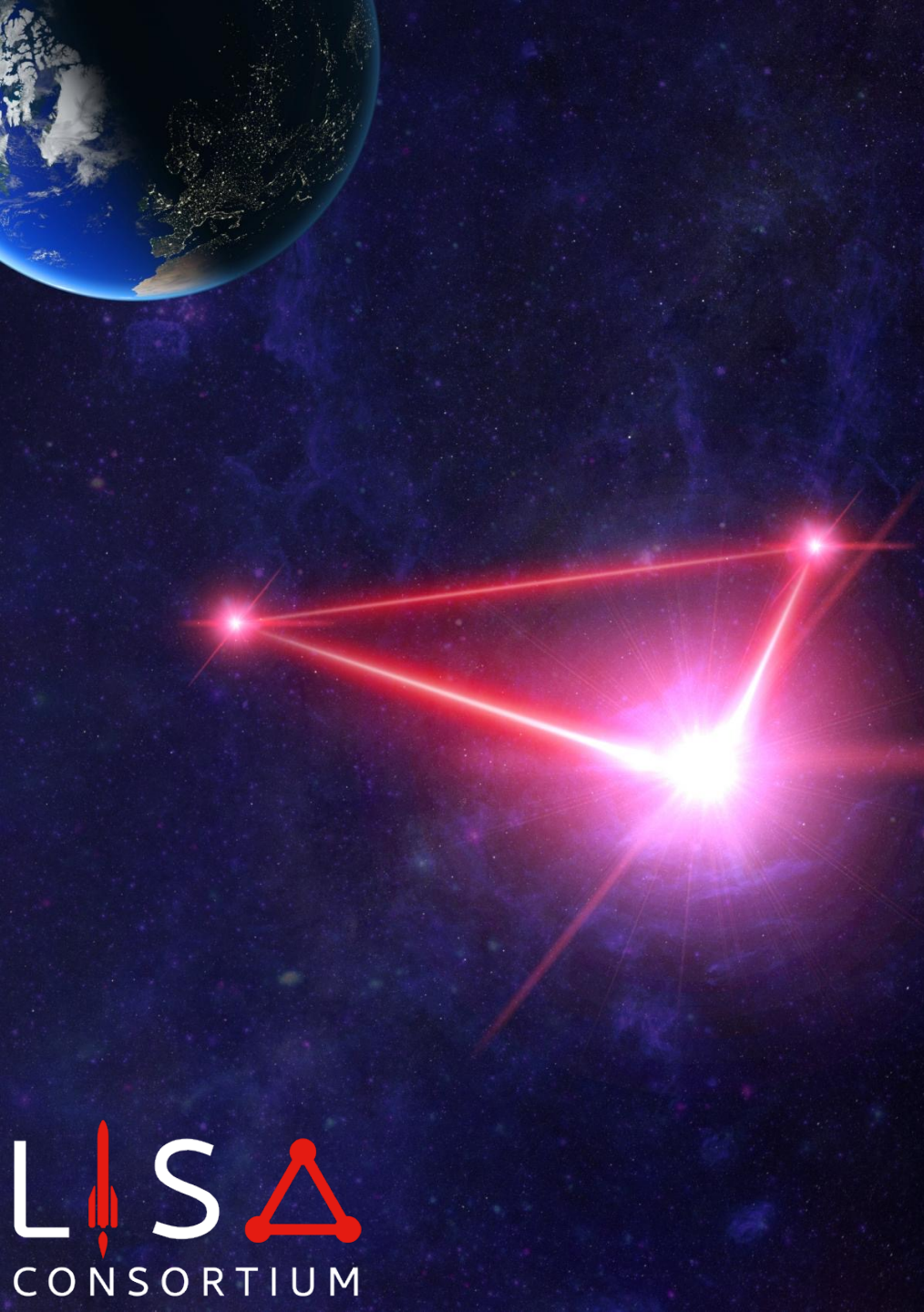
Surprisingly the Milky Way offers also the closest example of an imminent merger in our Local Group: Andromeda along with a handful of lesser galaxies is falling toward us, and Andromeda and the Milky Way house central black holes that will pair to form an binary before the Sun will expand into a red-giant.

* In astronomical spectroscopy, the Gunn–Peterson trough is a feature of the spectra of quasars due to the presence of neutral hydrogen in the Intergalactic medium (IGM). The trough is characterized by suppression of electromagnetic emission from the quasar at wavelengths less than that of the Lyman-alpha line at the redshift of the emitted light.

ETIC – Einstein Telescope Infrastructure Consortium



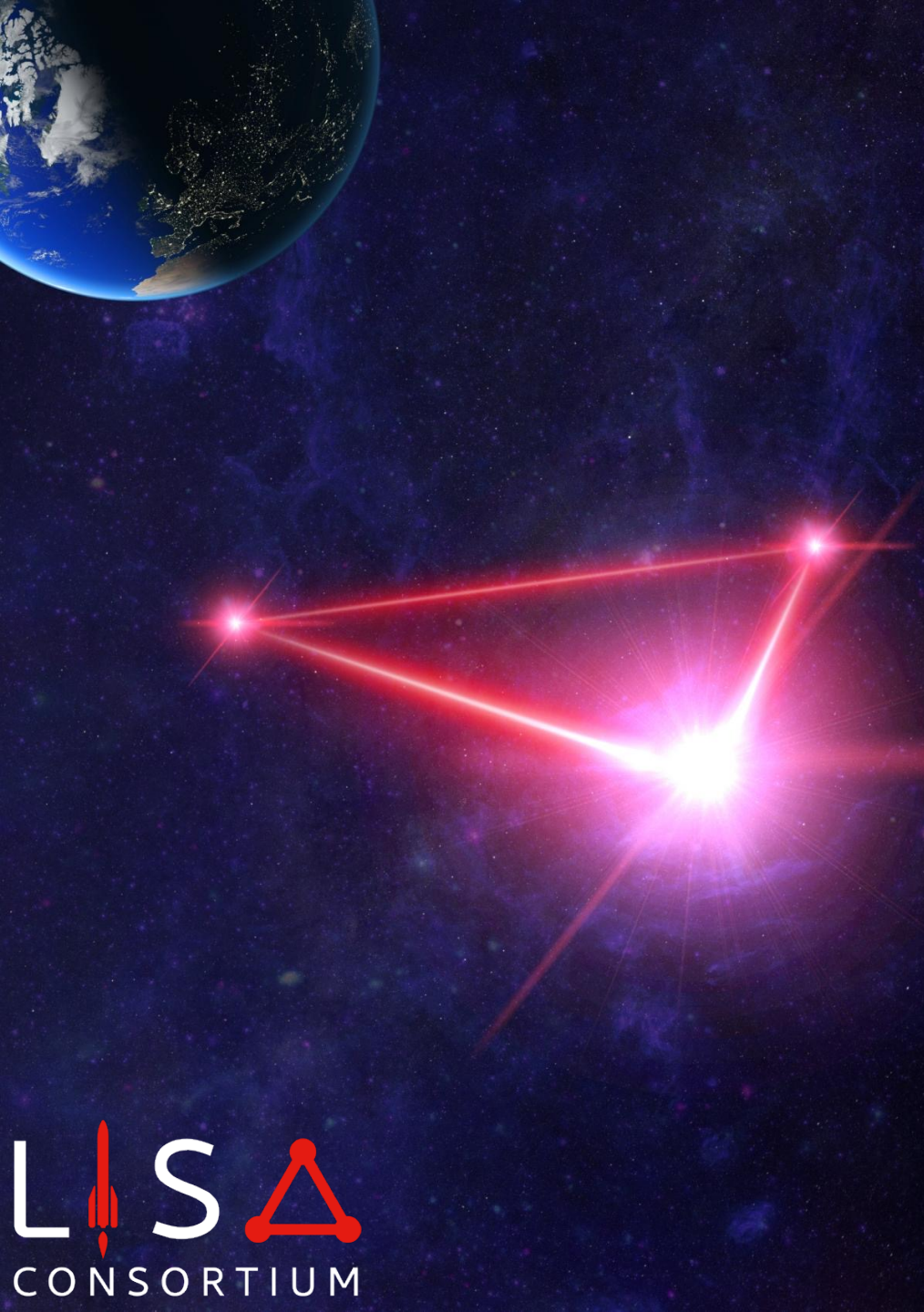




LISA is a space-borne Gravitational Wave Observatory with an arm-length of 2.5 million km, compared to the few km's of the ground-based observatories.

Electromagnetic observations of the universe, plus theoretical modeling, suggest that the richest part of the gravitational wave spectrum falls into the frequency range accessible to a space interferometer, from about 10^{-4} Hz to 10^{-1} Hz.

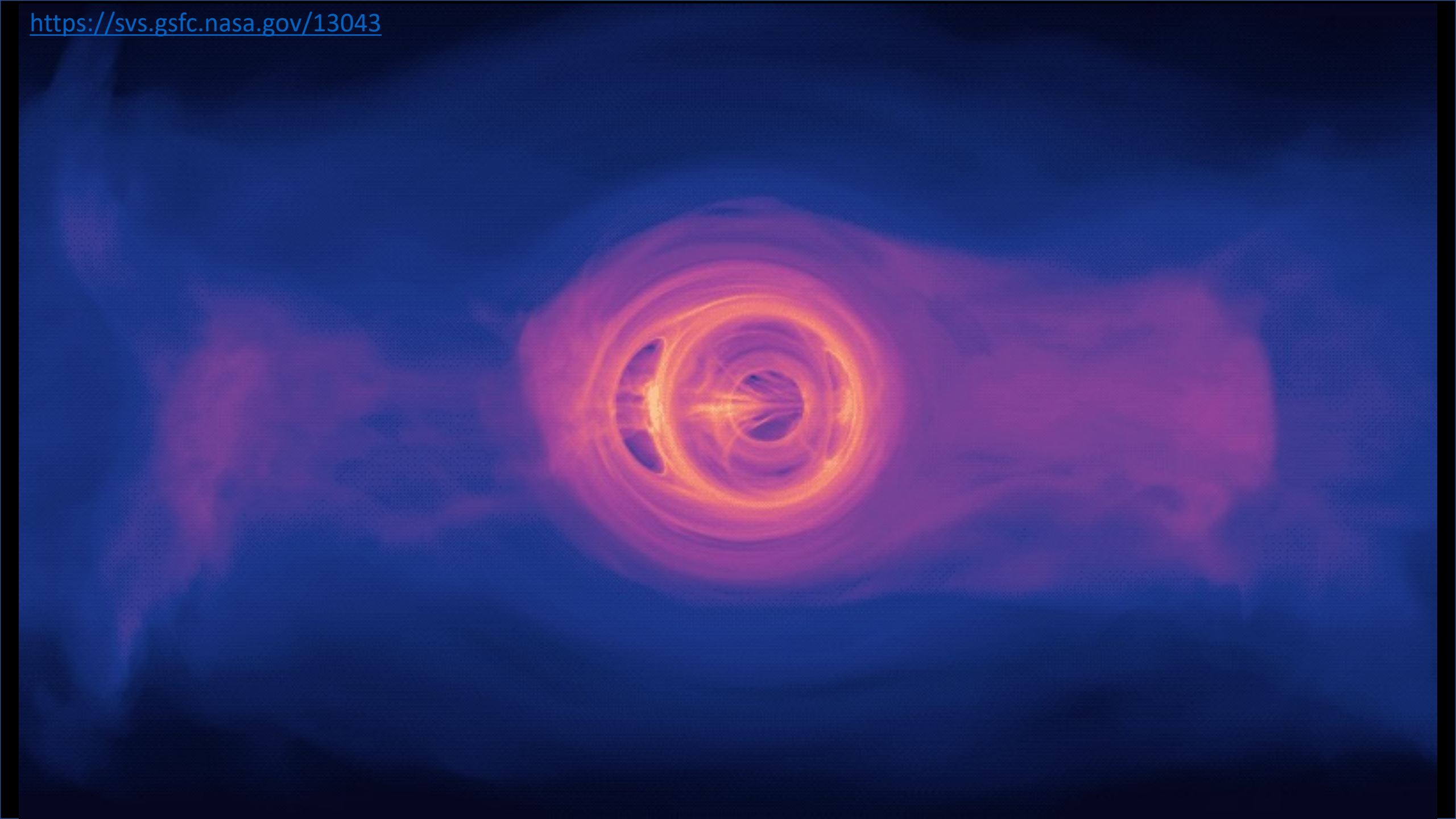
In this band, **important first-hand information** can be gathered to test the history of the universe out to redshifts of order 20, gravity in the dynamical strong field regime and the TeV scale energy of the early universe.

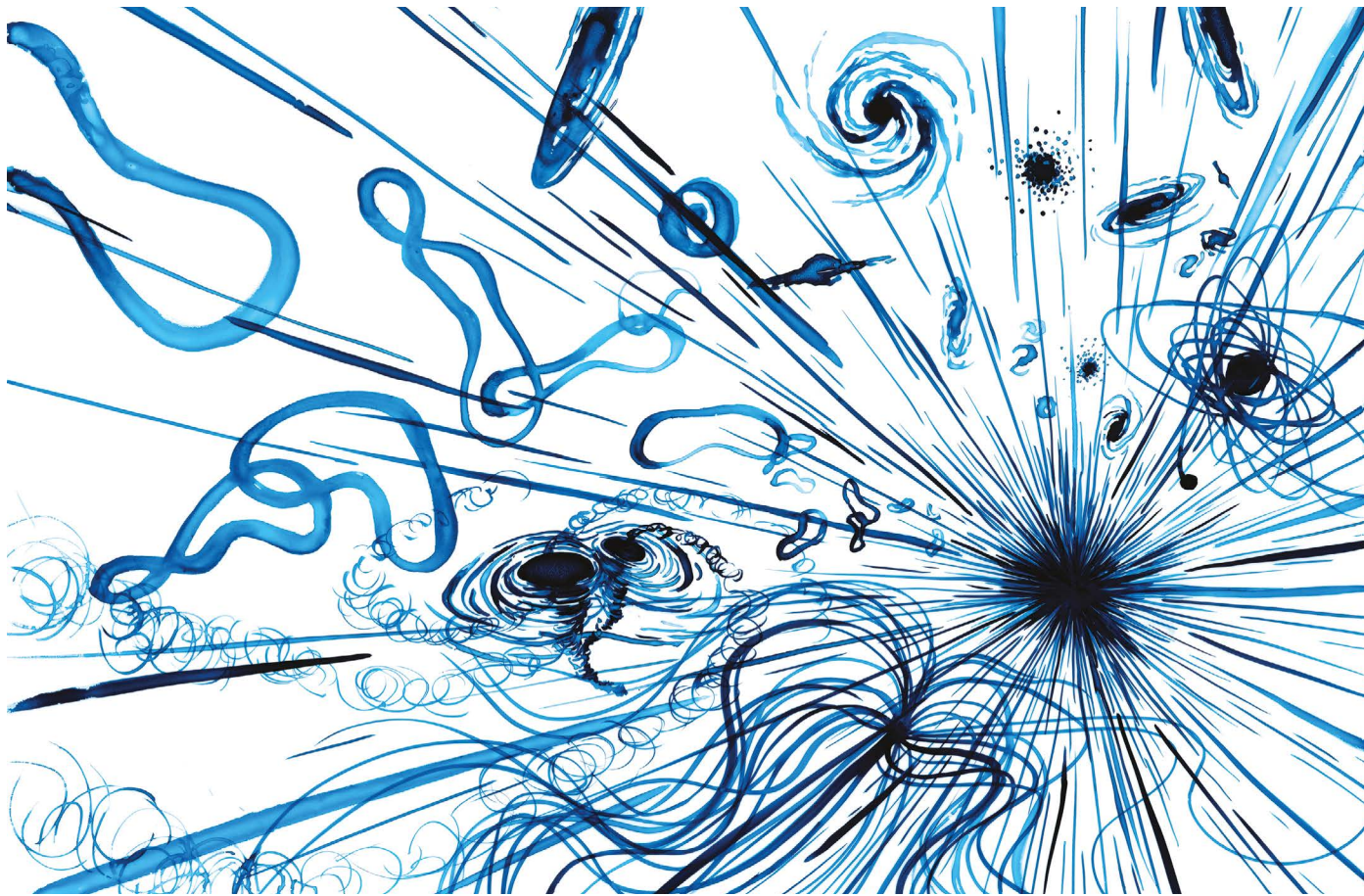


Mission objectives: As part of its core science objectives, LISA will:

- Study the formation and evolution of tens of thousands of compact binary star systems within the Milky Way;
- Trace the origin, growth and mergers of massive black holes across cosmic ages;
- Probe the dynamics of incredibly massive and dense star clusters found near the centres of most galaxies, using decaying orbits known as ‘extreme mass-ratio inspirals’, or EMRIs;
- Understand the astrophysics of stellar-origin black holes;
- Explore the fundamental nature of gravity and black holes;
- Probe the rate of expansion of the Universe;
- Understand the relic gravitational waves from the early evolution of the Universe (‘stochastic’ waves, which arise from many random independent events and combine to form a ‘cosmic gravitational wave background’) and their wider implications;
- Search for gravitational wave bursts and unforeseen sources.

Planned launch: 2037





Warped space-time around a black hole, as portrayed by artist Lia Halloran.

Kip Thorne and Lia Halloran on black holes

The mechanics of space-time storms, wormholes and time machines – told through poetry and paintings.

<https://www.nature.com/articles/d41586-023-04023-0>

And what do you think will happen in gravitational-wave research over the next decade?

Thorne: This year, the European Pulsar Timing Array and other observatories reported detecting a background of gravitational waves from colliding supermassive black holes, and perhaps from the birth of the Universe. Future discoveries, with LIGO and its successors on the ground as well as gravitational observatories in space, will deepen our understanding of warped space-time. Today, we're in the same situation we were in four centuries ago, when Galileo built the first optical telescope. He and other astronomers discovered a new world—the richness of the Solar System. Now, we're poised to discover the richness of the cosmos.